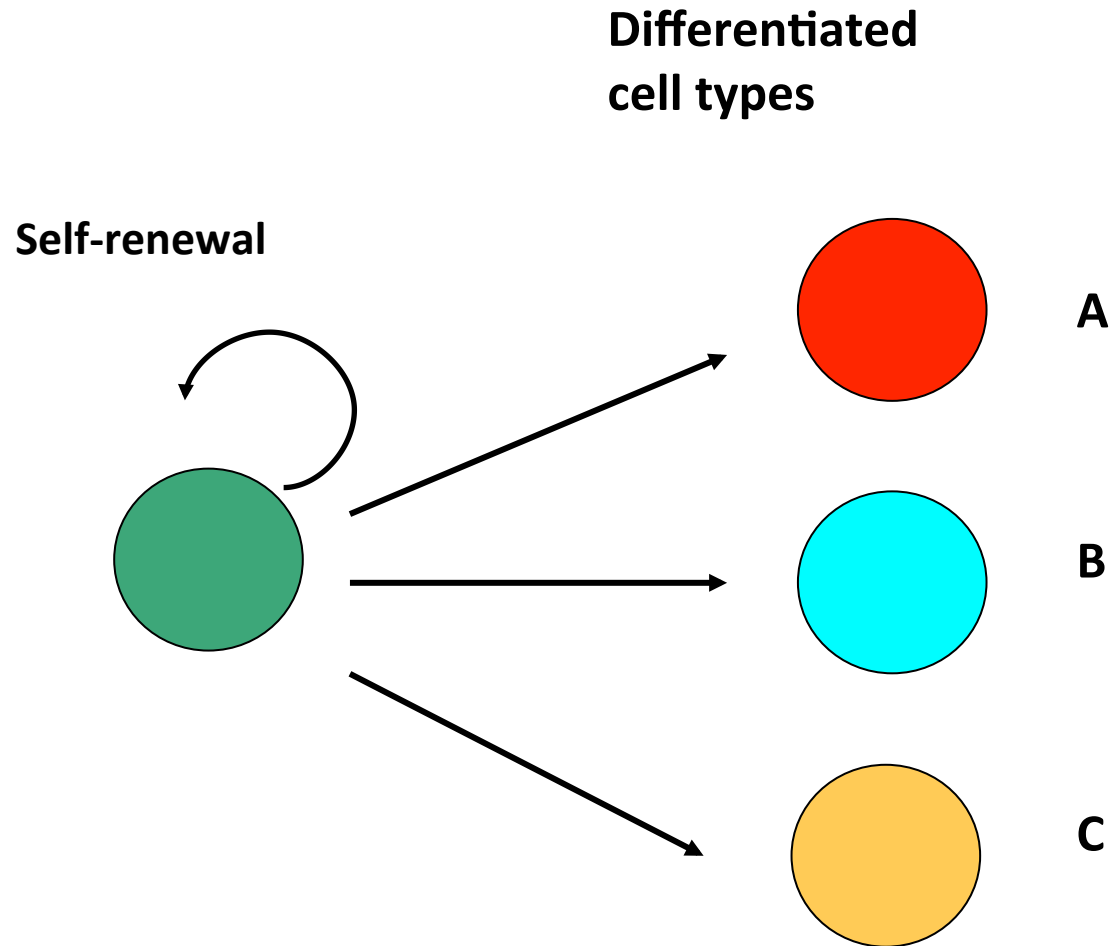


# Stem Cell Biology and Ethics

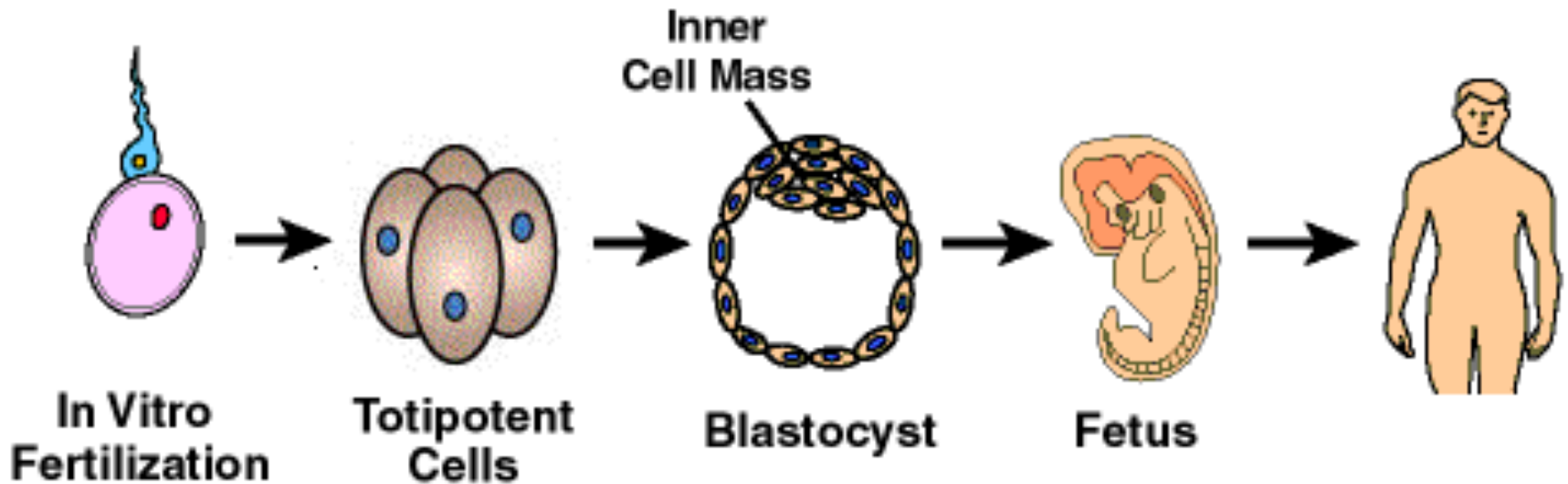
## Dr. Pei Yun Lee

# What is a Stem Cell? What do they do?

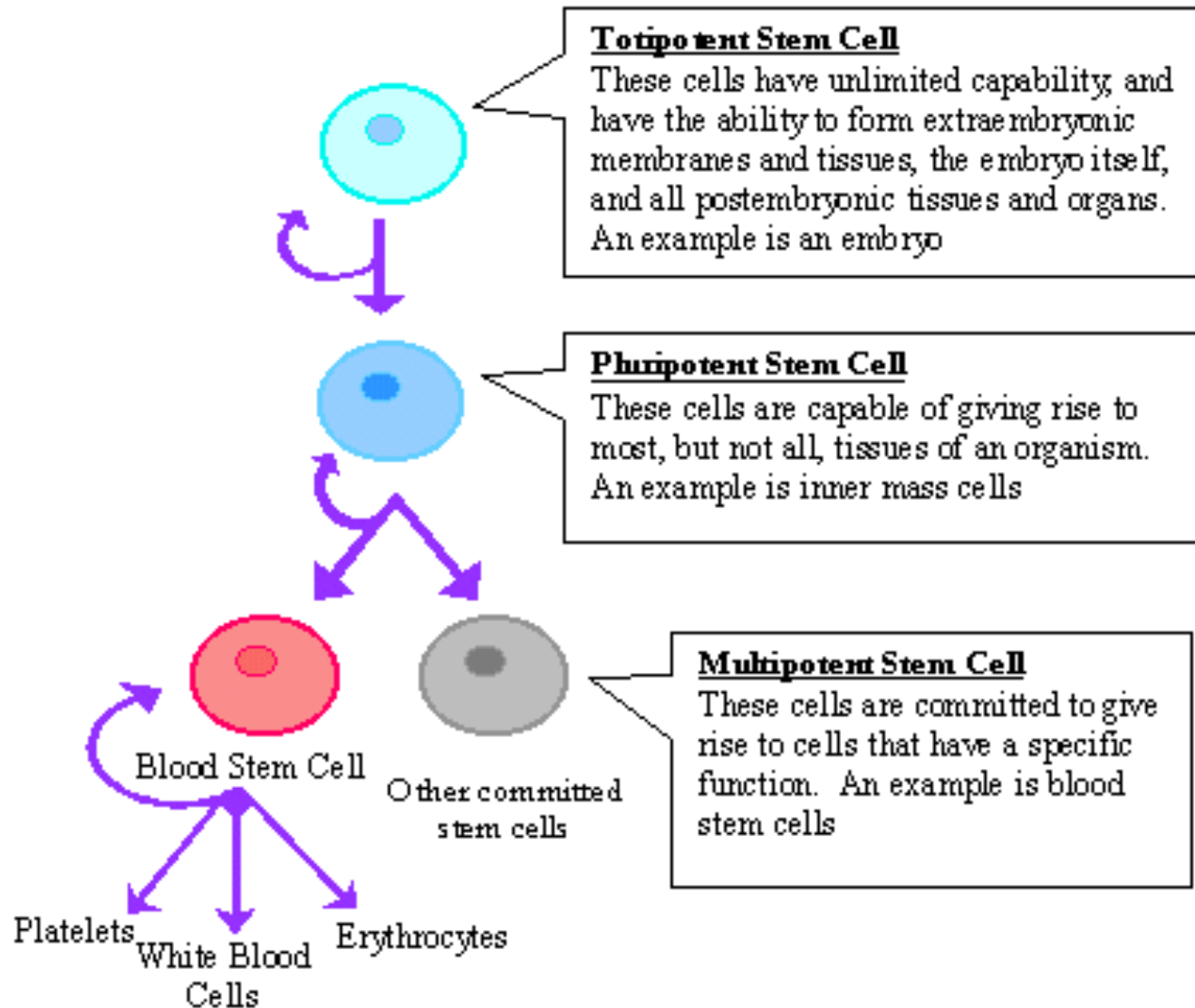


Ability to differentiate into different cell types  
Ability to self renew

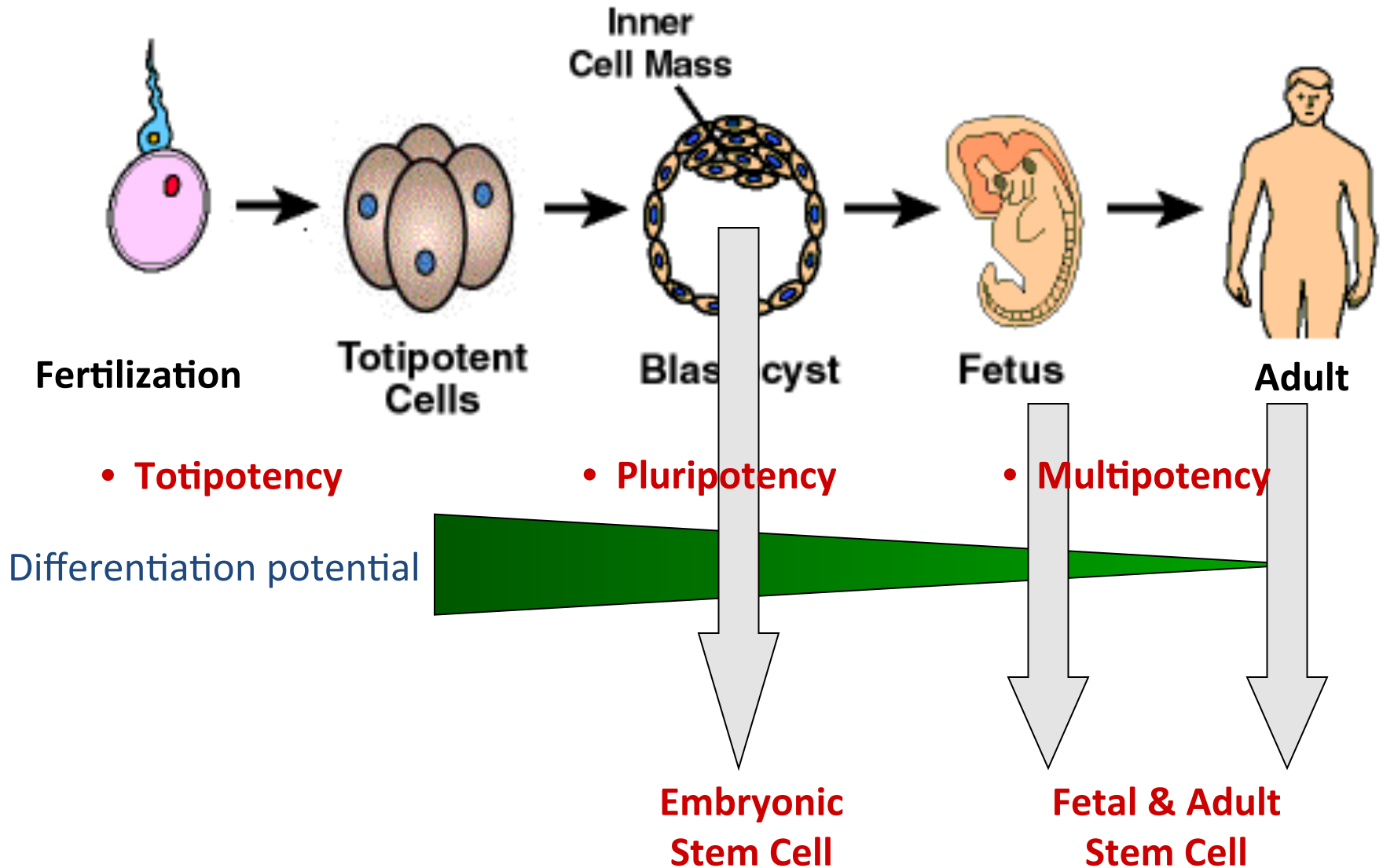
# Where can stem cells be found?



# Stem cells have different developmental potentials



# Progressive Restriction of Differentiation Potential



How were stem cells discovered?



Alexander Maximow 1909

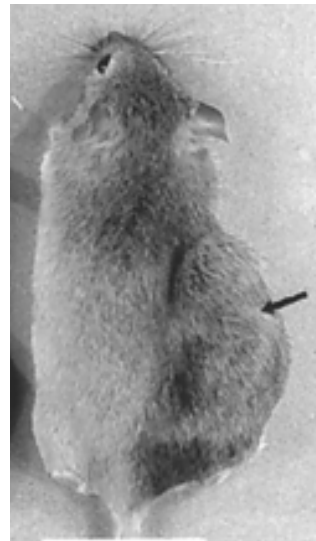
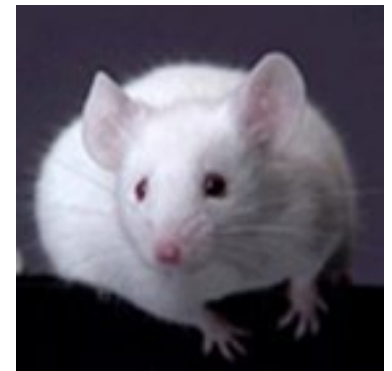
Unitarian theory of hematopoiesis (blood formation)  
-all blood cells descended from a common  
precursor “stem” cell



Leroy Stevens

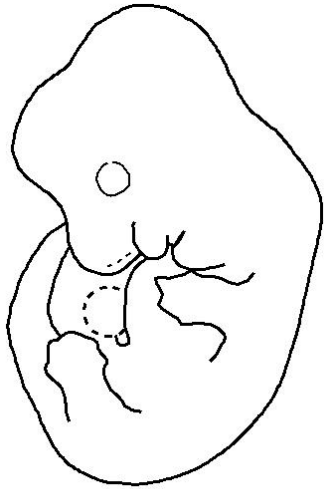


Mouse lines are inbred and therefore genetically identical!



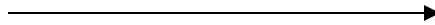
Strain 129!





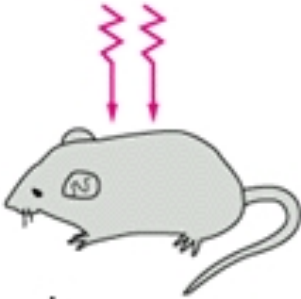
Isolate cells from mouse embryos

Transplant into adult  
mouse testes



**Teratomas!**

x-irradiation halts blood cell production; mouse would die if no further treatment was given



INJECT BONE MARROW CELLS FROM HEALTHY DONOR



mouse survives; 2 weeks after infection, many newly formed blood cells are in circulation



EXAMINATION OF SPLEEN REVEALS LARGE NODULES ON ITS SURFACE



each spleen nodule contains a clone of hemopoietic cells, descended from one of the injected bone marrow cells

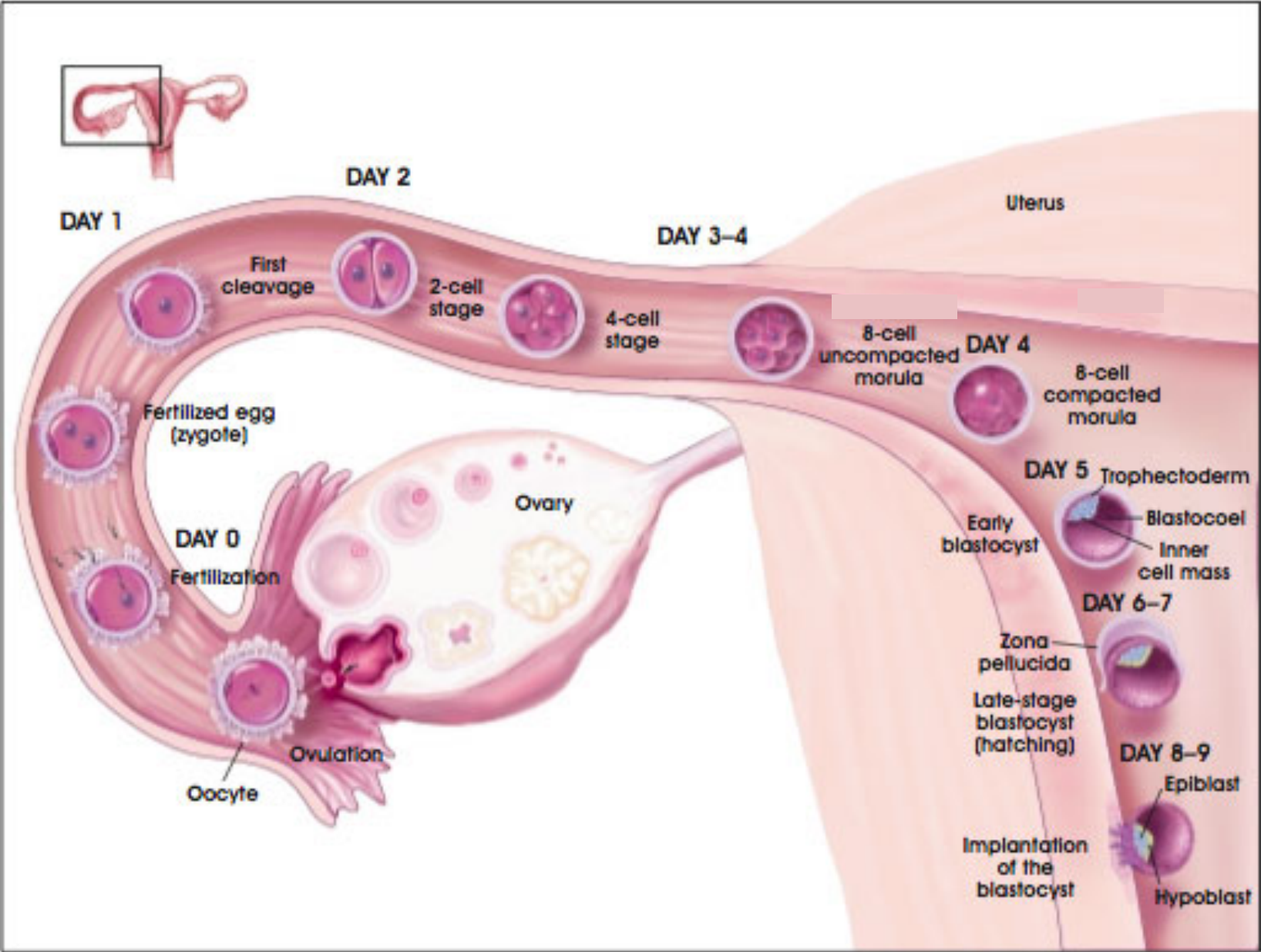
# McCulloch and Till were the first to identify stem cells!



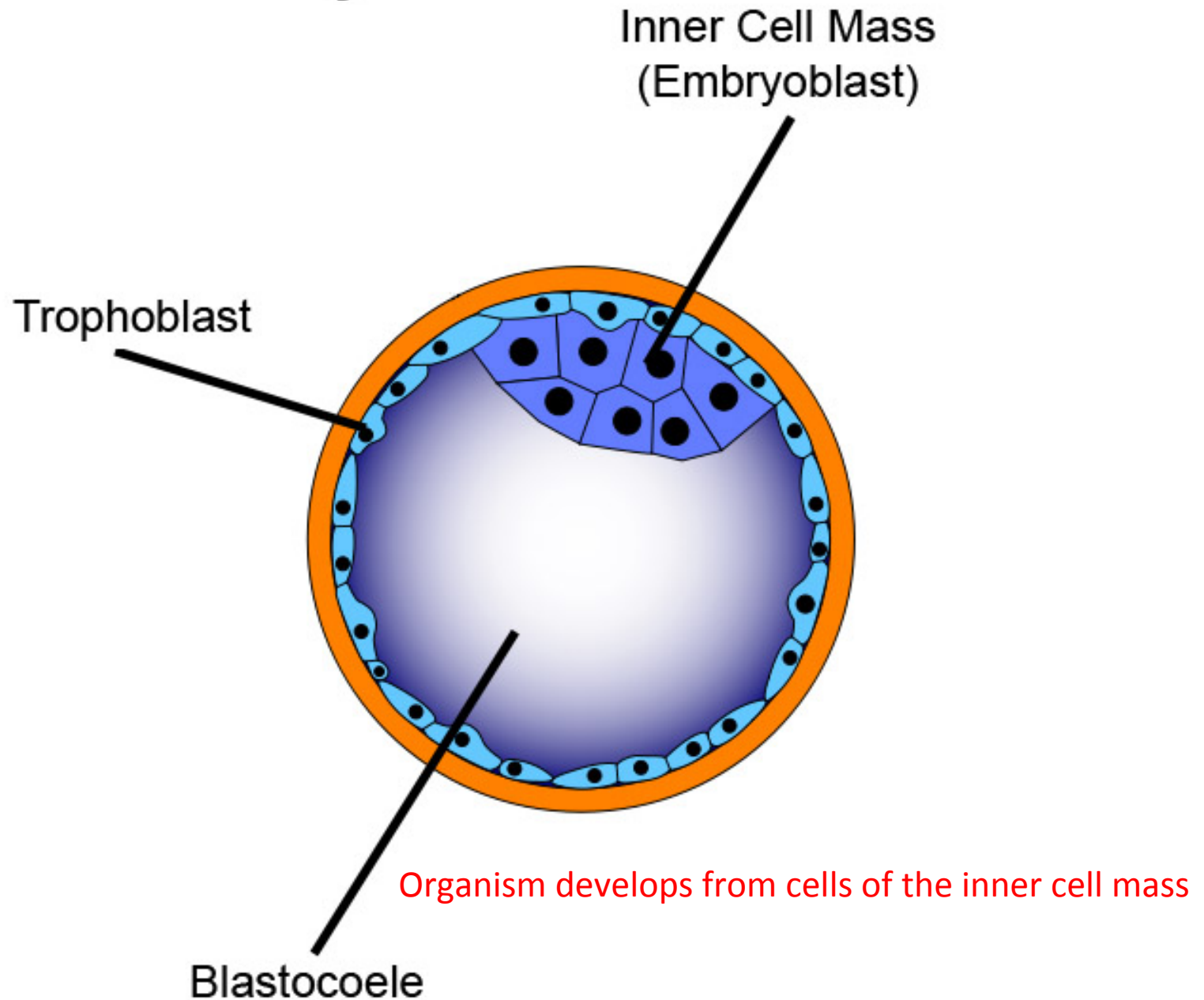
Analysis of the cells in the spleen demonstrated that the cells in each nodule were clones

How are embryonic stem (ES)  
cell lines created?

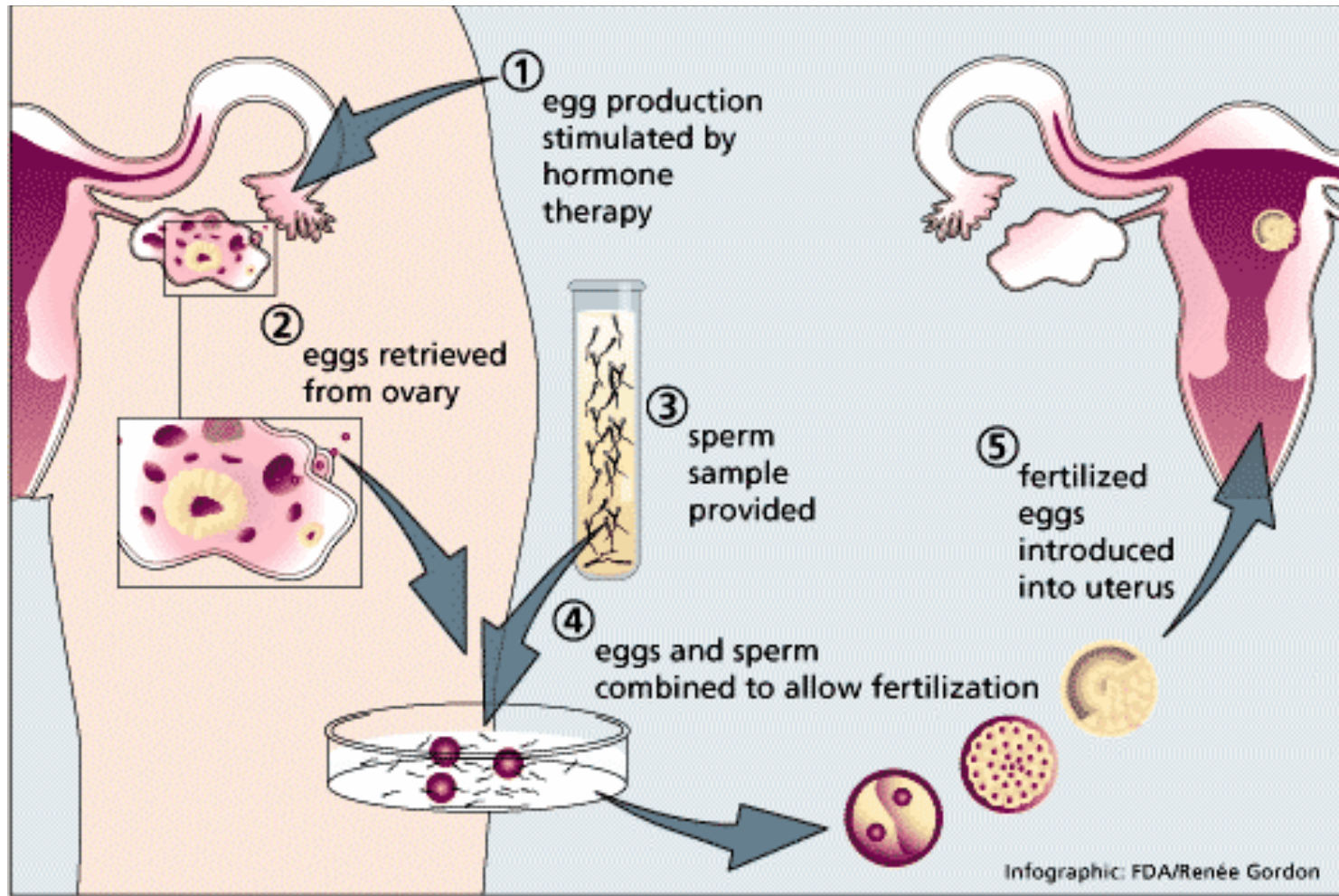
# What developmental stage is a blastocyst?



# The Blastocyst

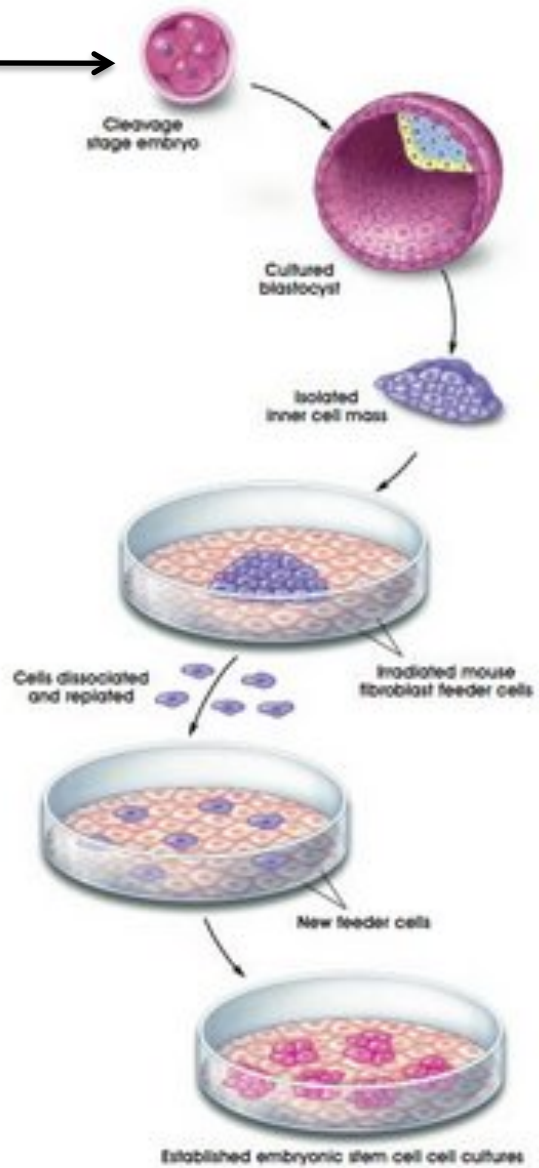


# In vitro fertilization

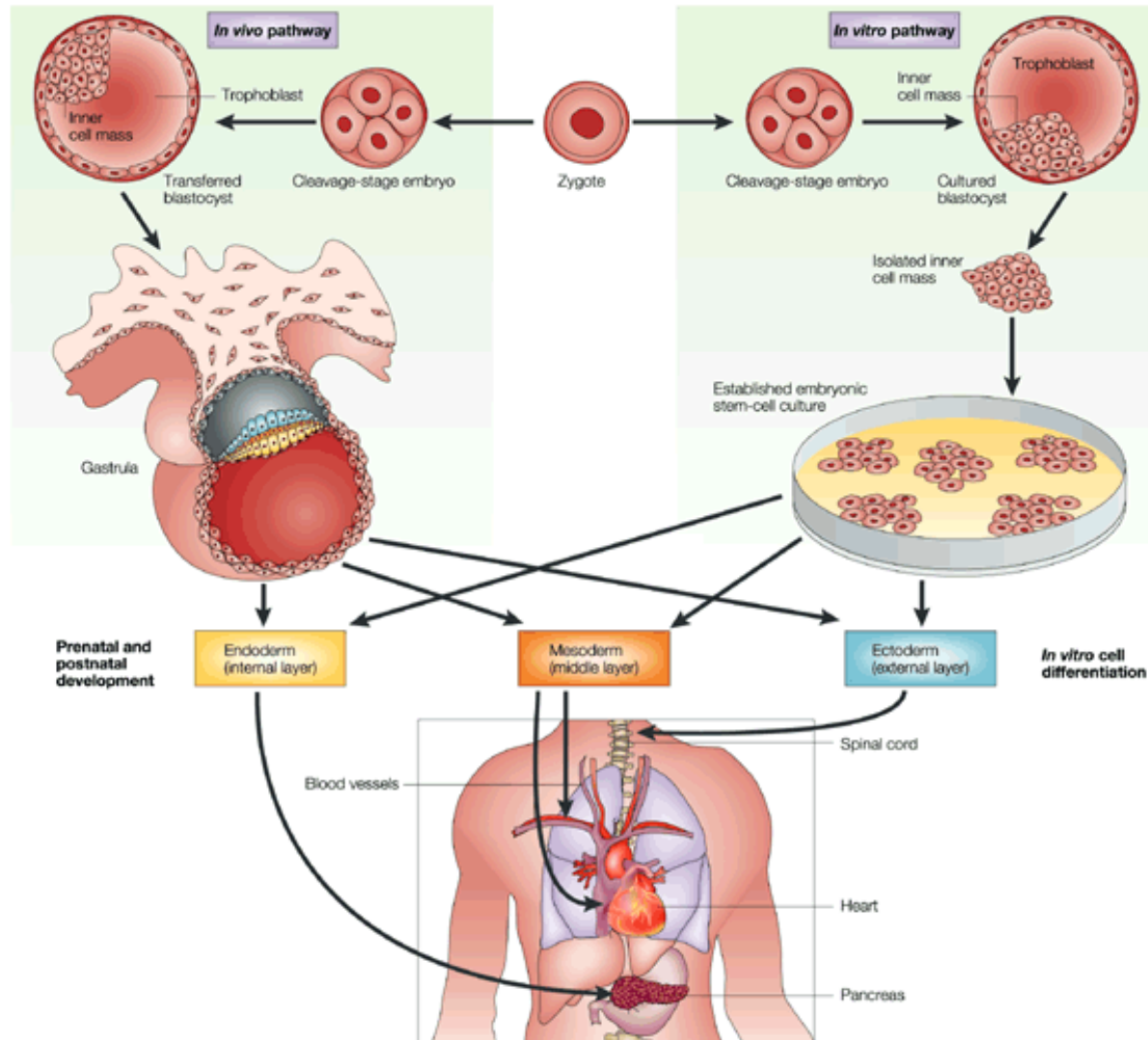


Accounts for 99% of ART procedures  
Estimated 3 million worldwide (1% of all US births)

In vitro fertilization →

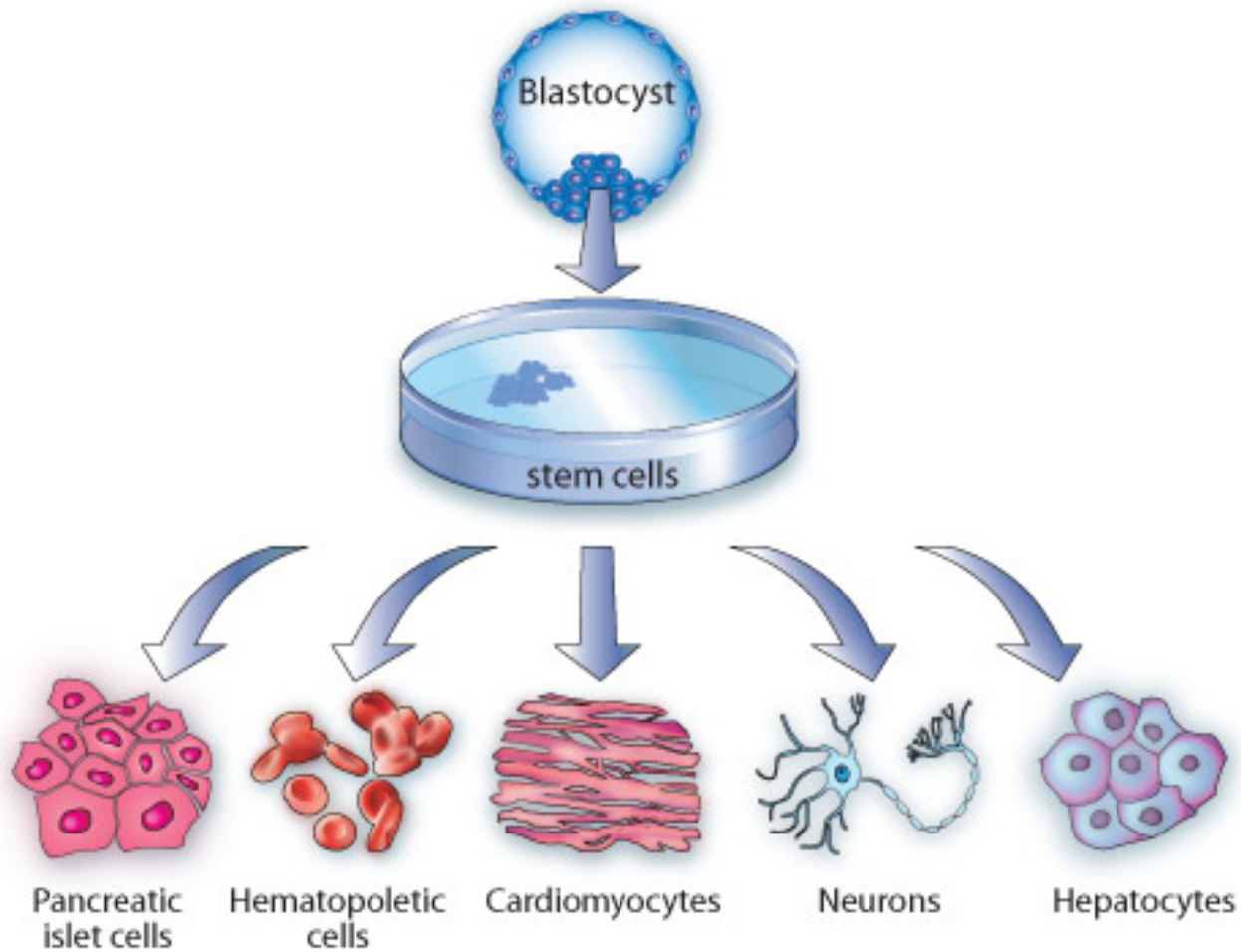


# Embryos for human embryonic stem cell research are obtained through *in vitro* fertilization

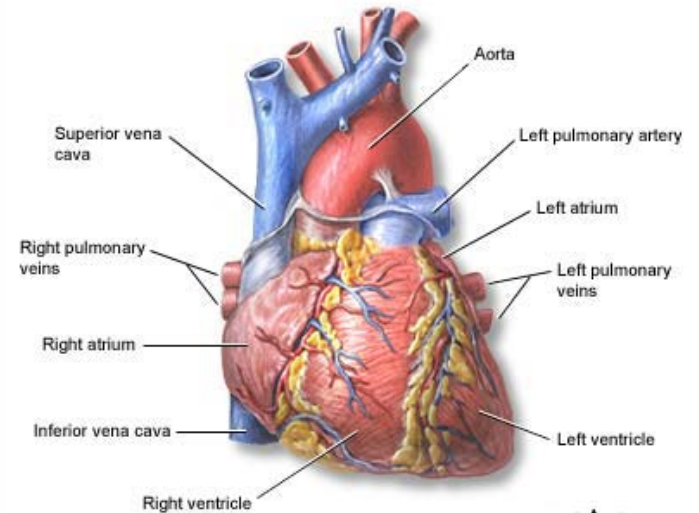
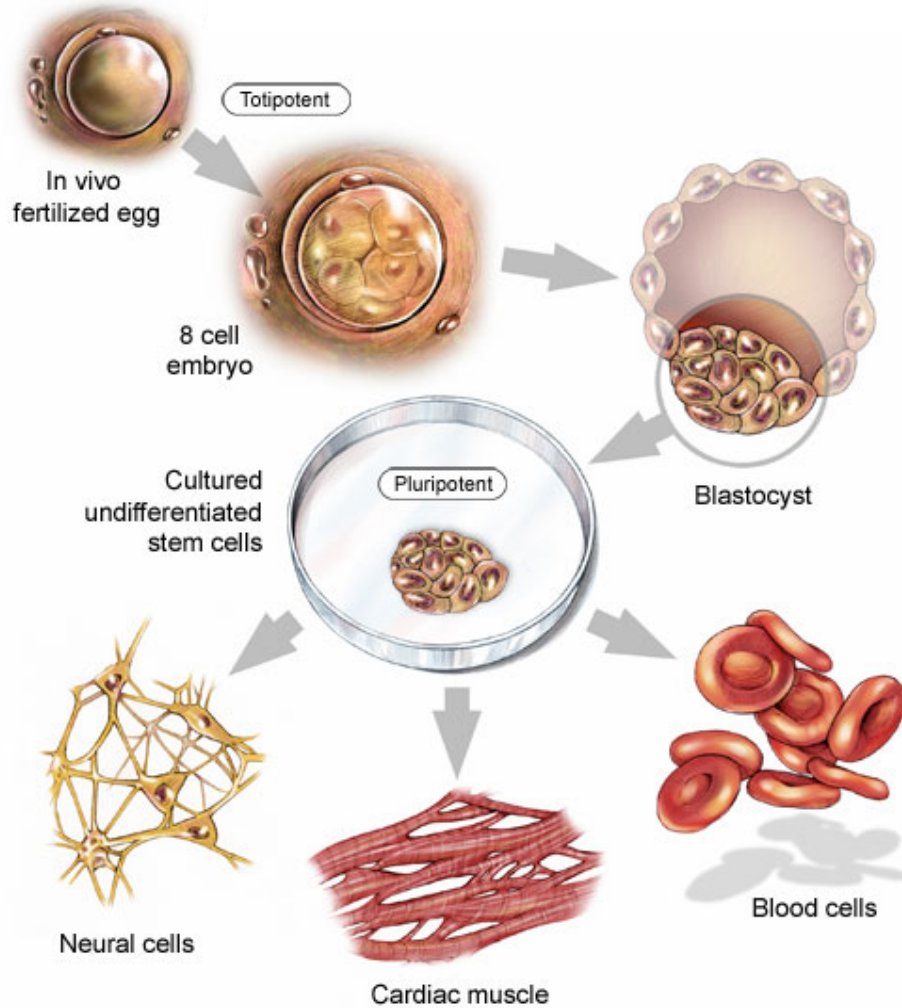




ES cell lines can be induced to generate different cell types

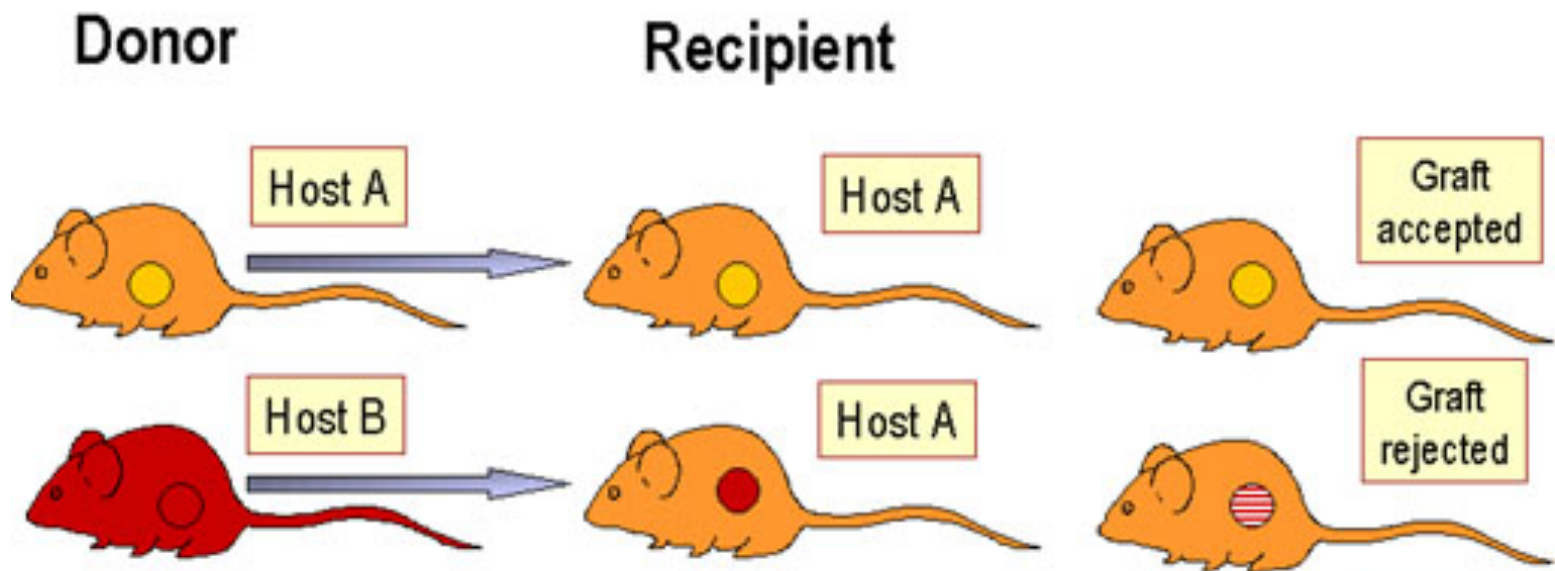


# Beating heart muscle from ES cells!



If we already have stem cell lines,  
why do we need to create more ES  
lines or clone embryos?

# Our bodies can recognize self vs non-self



# Human MHC genes are highly polymorphic

**Table 17.3**

## MHC Class II Alleles

Locus	Number of Alleles
-------	-------------------

HLA-DRA	3
* HLA-DRB	542
HLA-DQA	34
HLA-DQB	73
HLA-DPA	23
HLA-DPB	125
HLA-DMA	4
HLA-DMB	7
HLA-DOA	12
HLA-DOB	9

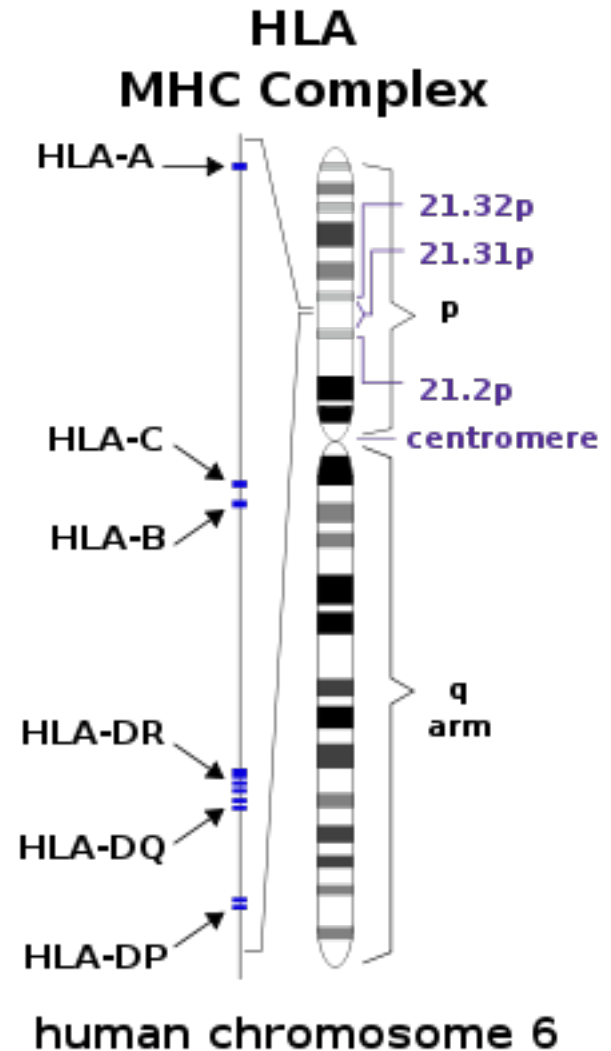
## MHC Class I Alleles

Locus	Number of Alleles
-------	-------------------

* HLA-A	479
* HLA-B	805
HLA-C	257
HLA-E	9
HLA-F	20
HLA-G	7

*Note:* Several other class I alleles are not listed.

Table 17-3 Cell and Molecular Biology, 5/e (© 2008 John Wiley & Sons)

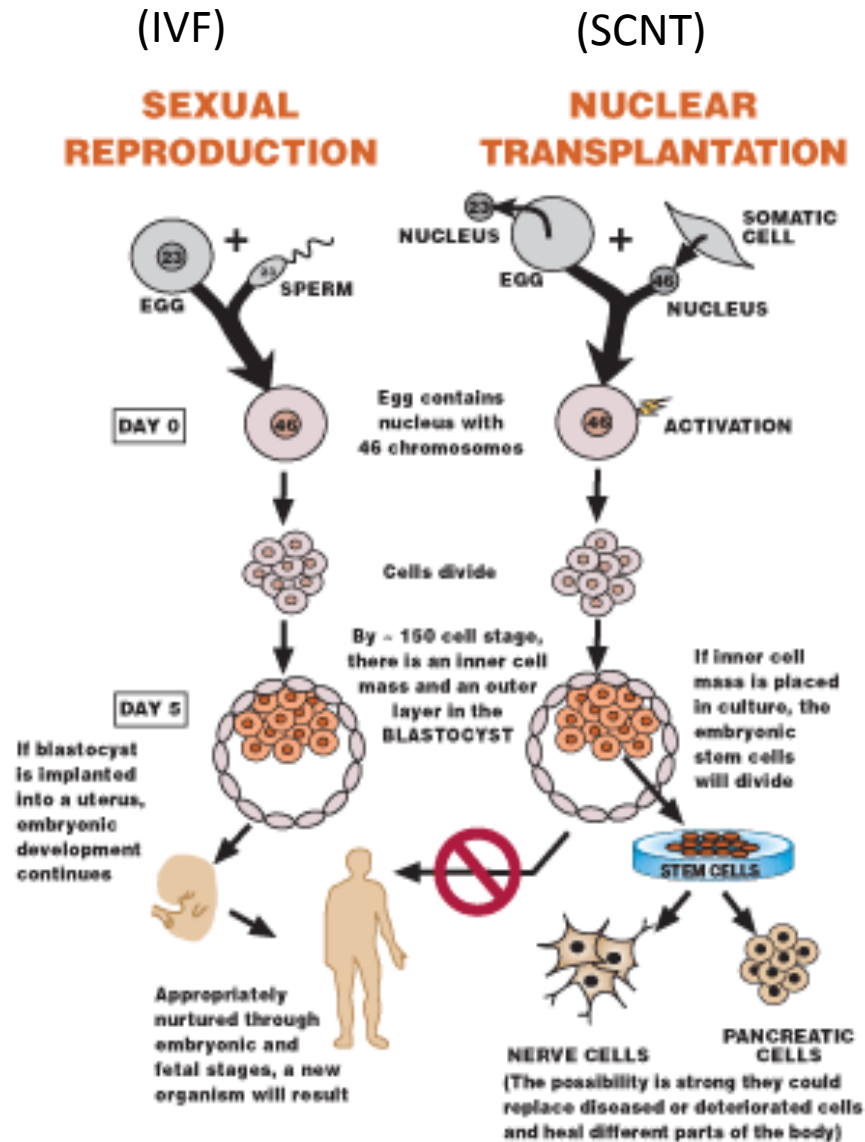


# Solutions to the Tissue Rejection Problem

- Have a bank of ES cells of different HLA haplotypes representative of different populations
  - similar to bone marrow registry where chances of a match is related to what is available in the bank
- Obtain ES cell lines for each individual through somatic cell nuclear transfer (SCNT) or cloning
  - Personalized medicine!

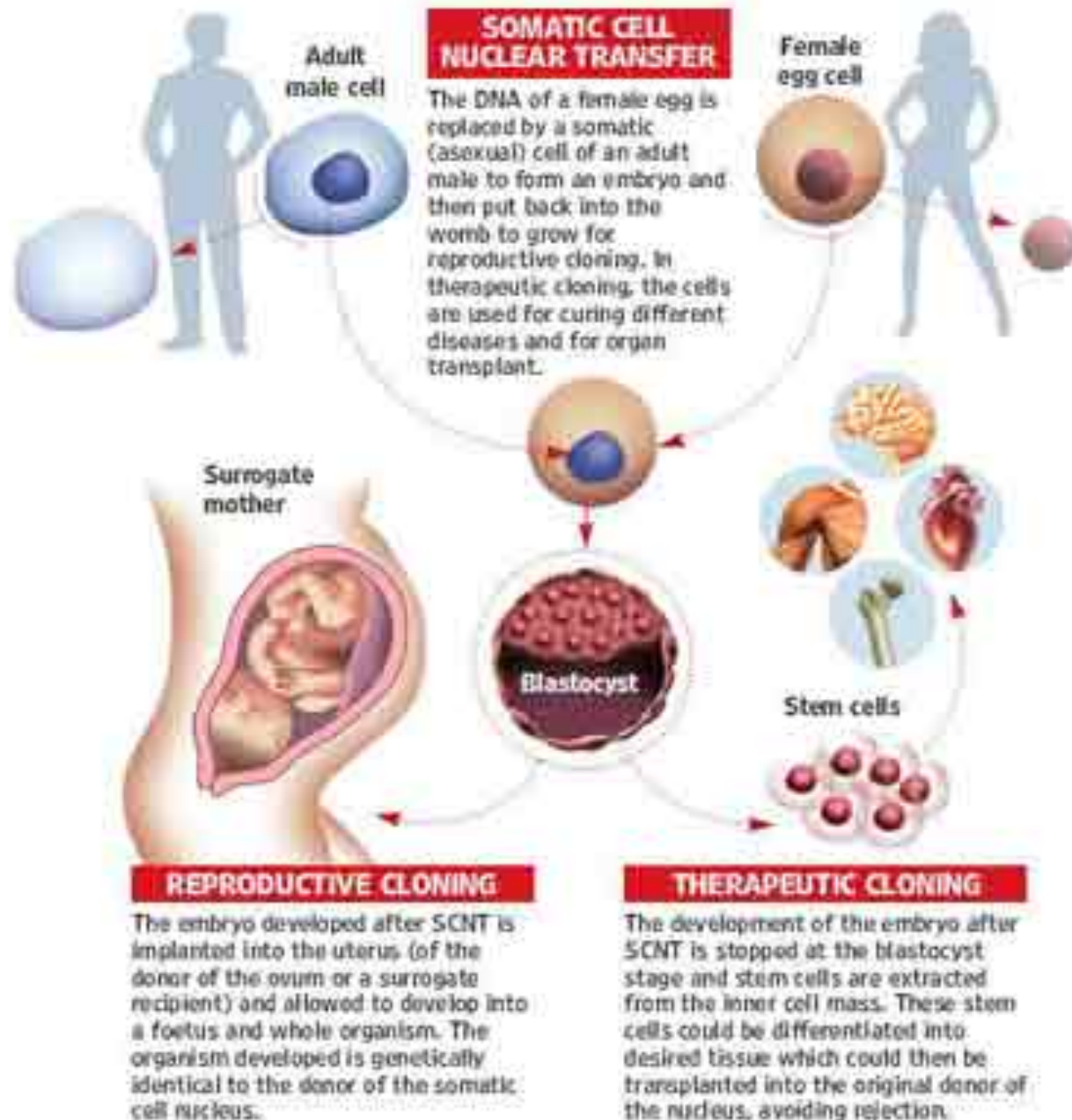
How do we “clone” a human embryo?

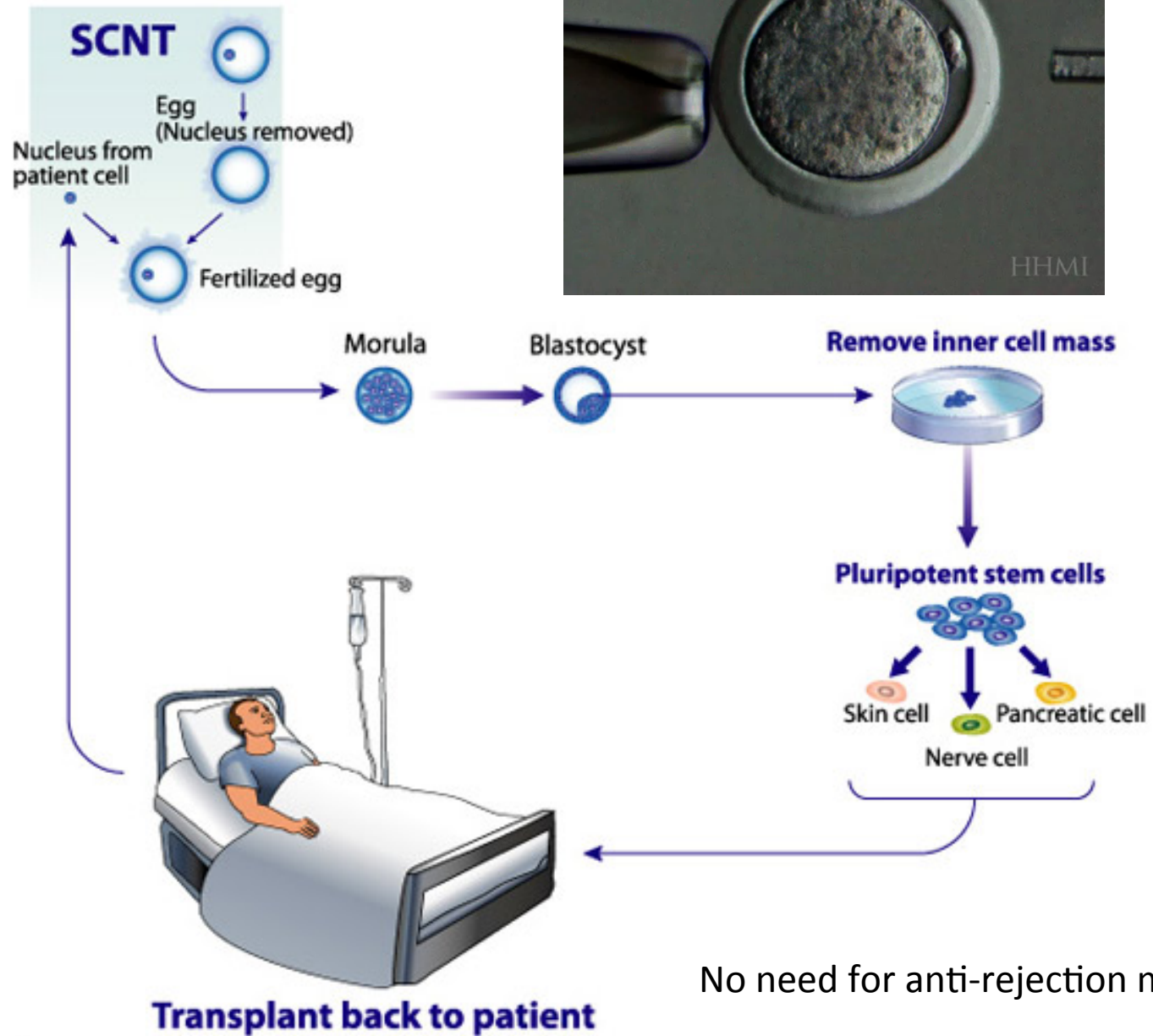
# How do you “clone” a human embryo?





# Reproductive vs. Therapeutic Cloning

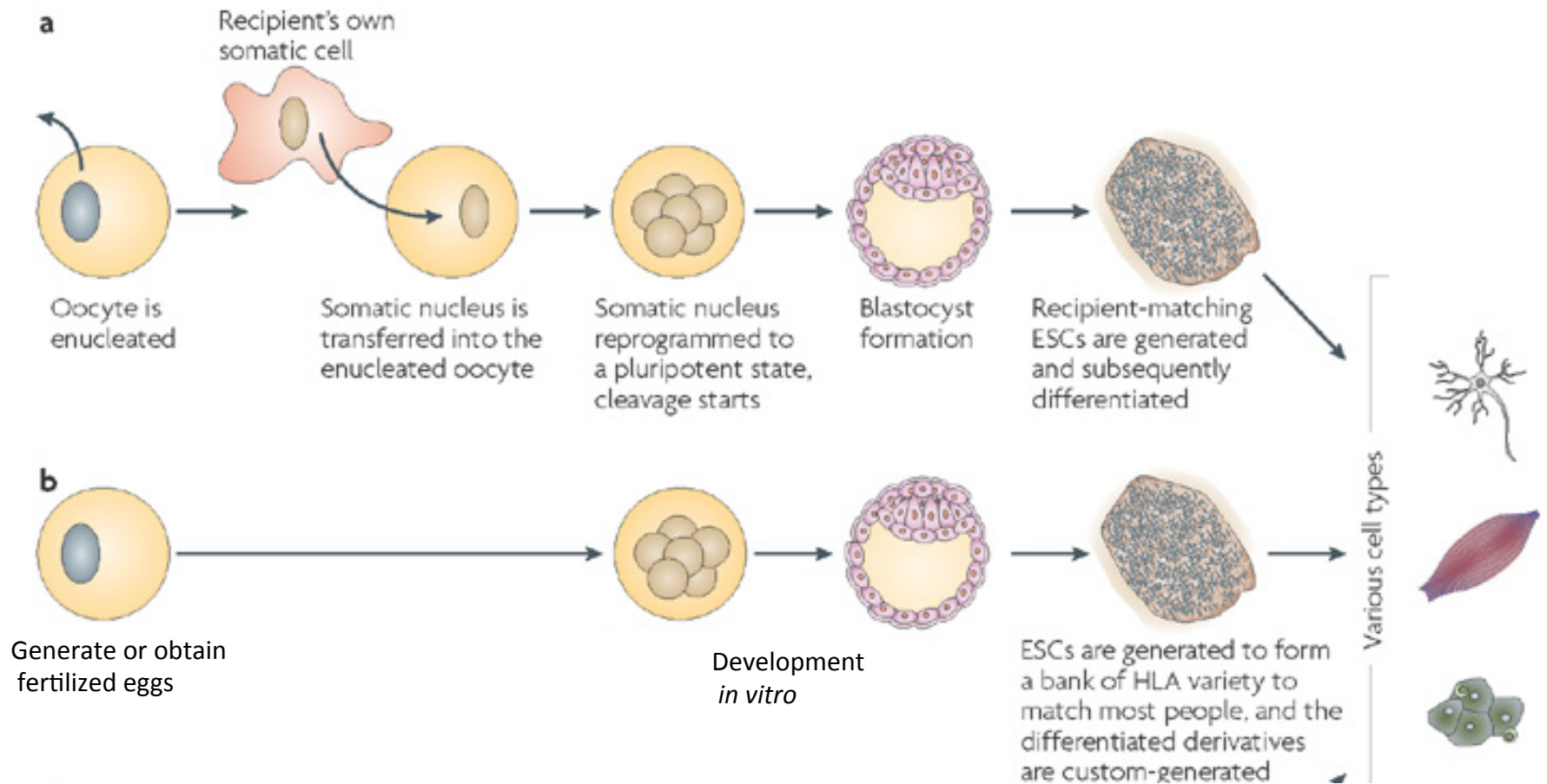




# Human Reproductive Cloning Laws

- 15 states have laws relating to reproductive cloning
- They are:
- AR, CA, CT, IN, IA, MD, MA, MI, NJ, ND, RI, SD, VA have banned reproductive cloning
- AZ and MO prohibits use of public monies for reproductive cloning
- There is currently no Federal ban

# Using ES cells to treat disease

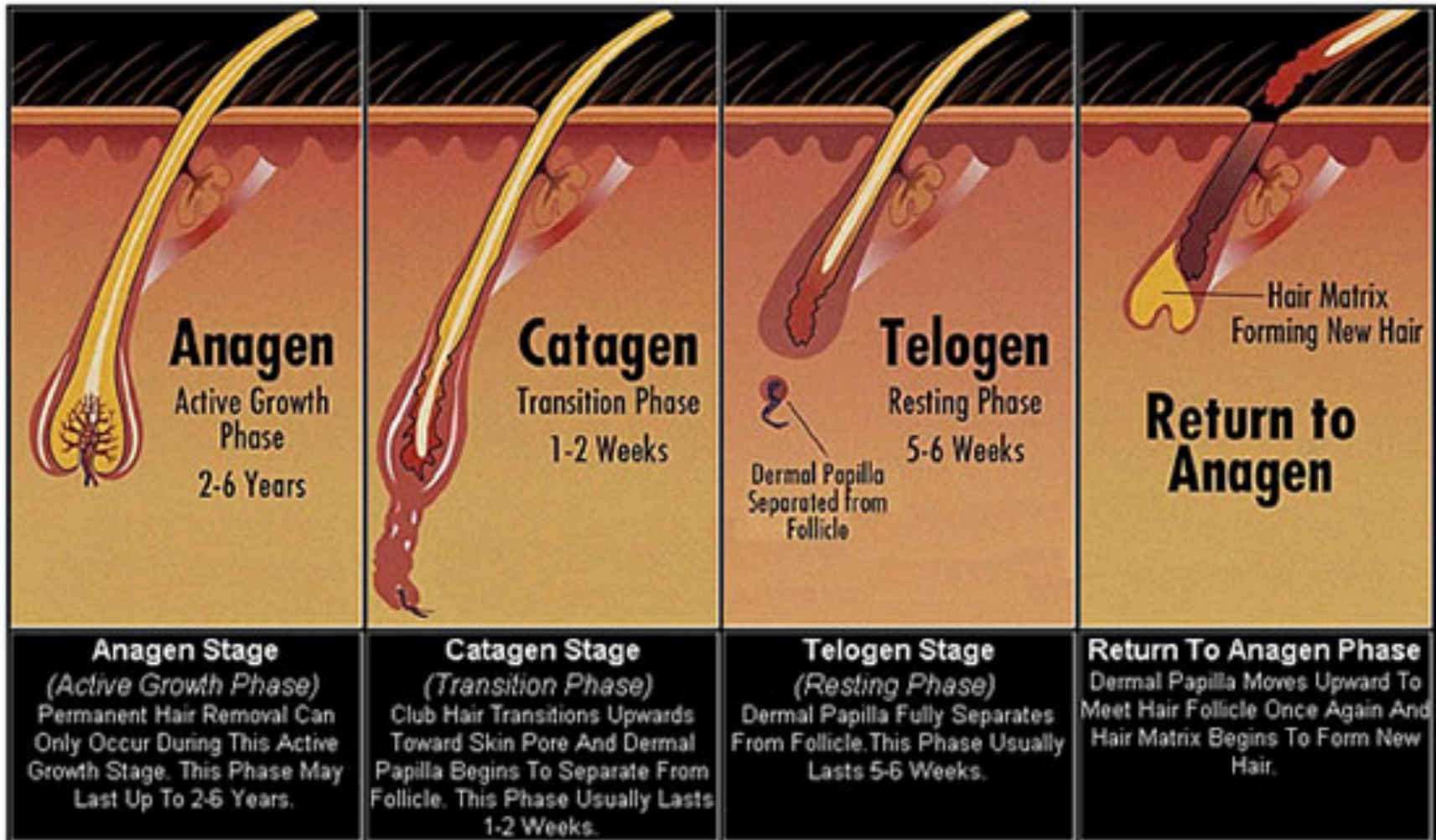


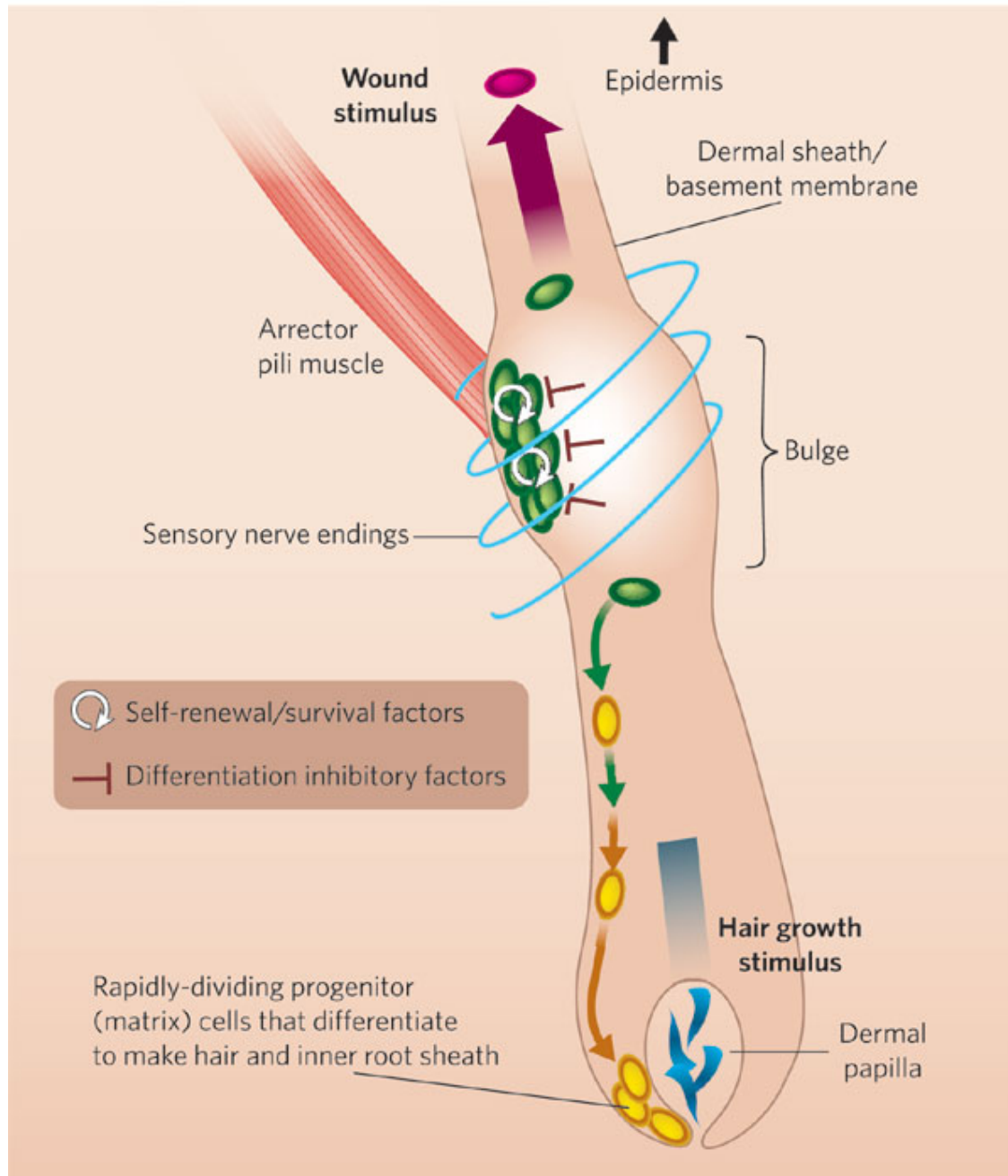
How about “adult” stem cells?

# Adult stem cells are...

- Also present in children
- Have limited differentiation potential, usually restricted to a few cell types

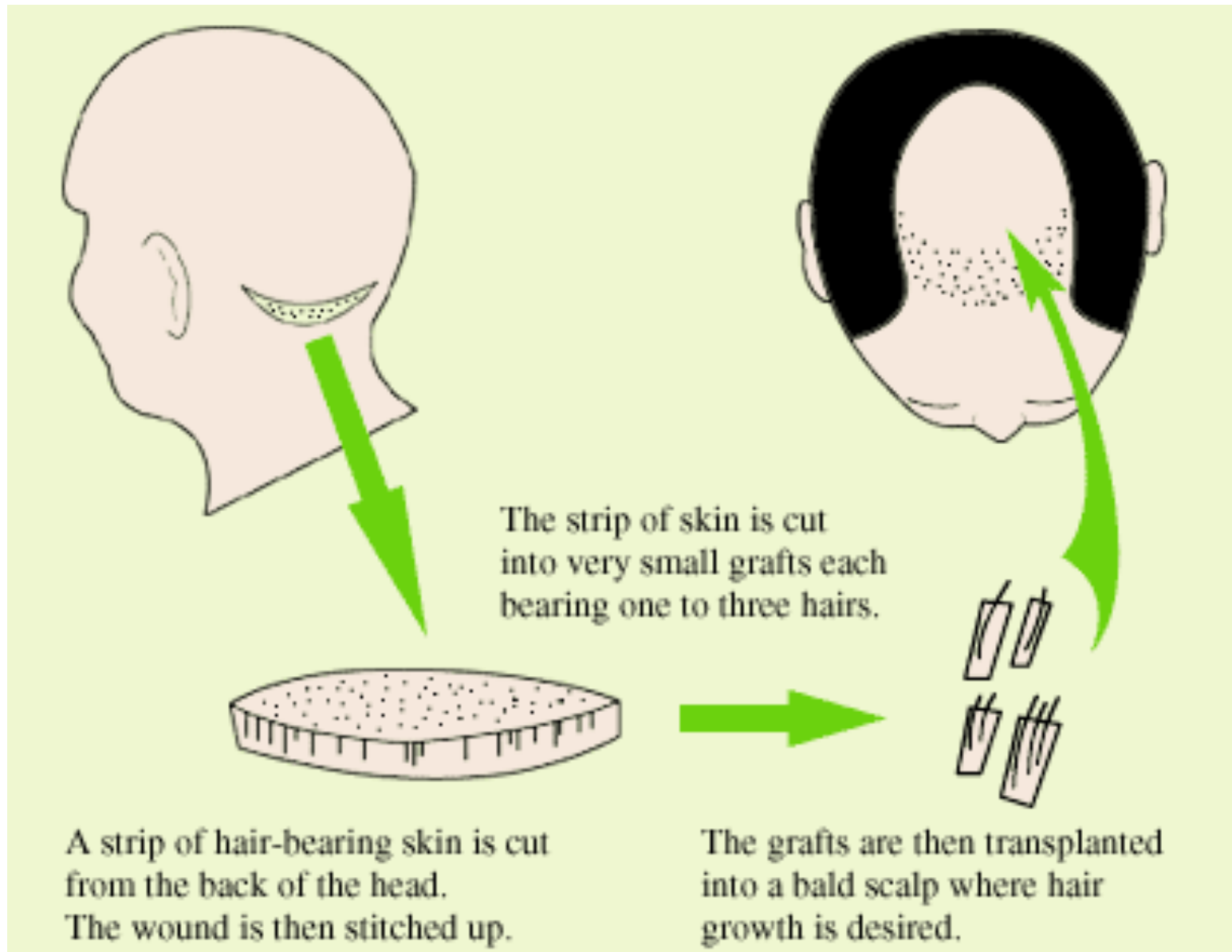
# Stem Cells in the Hair Follicle







# Hair Transplantation is Stem Cell Therapy!



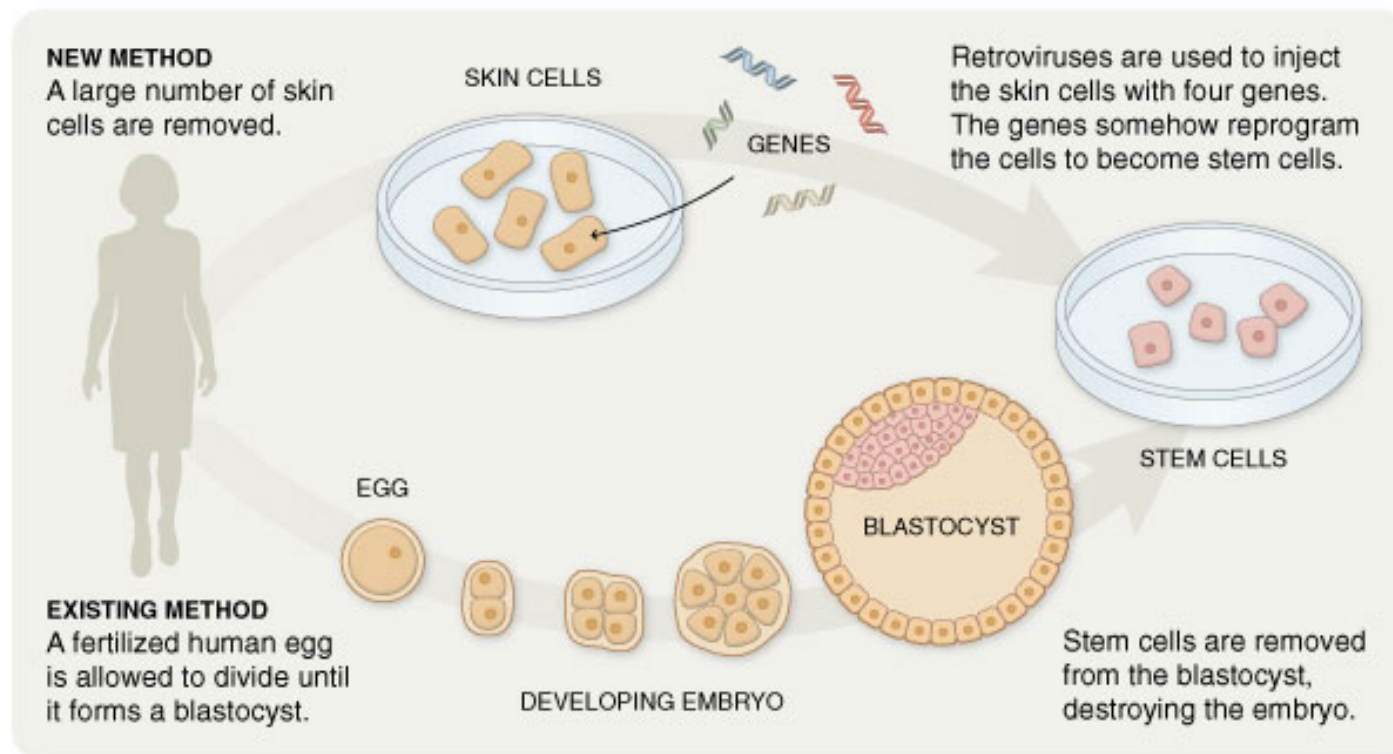
# If we have adult stem cells, why do we need ES cells?

- Limited developmental potential
- Difficult to find and isolate
- Difficult to grow in culture

Since the nucleus contains all the genetic information to create a new organism, can we get a fully differentiated cell to become pluripotent?

# Reprogramming Human Skin Cells

Researchers have developed a technique for creating stem cells without the controversial use of human eggs or embryos. If the method can be perfected, it could quell the ethical debate troubling the field.

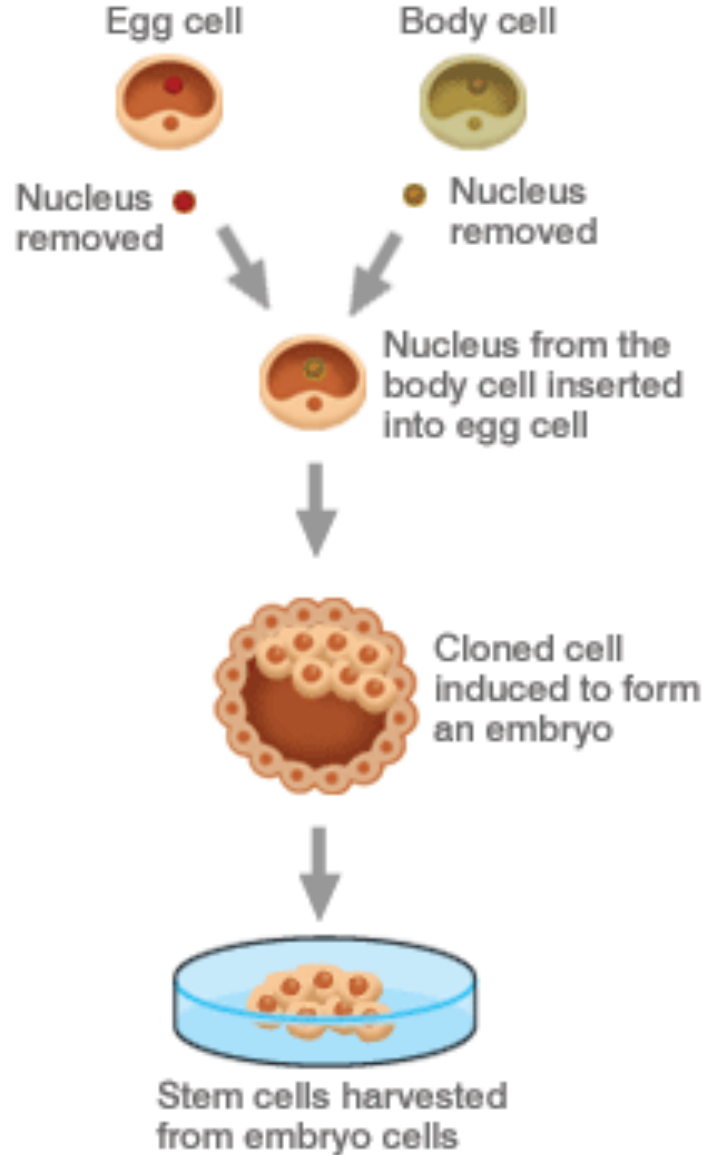


## TIMELINE

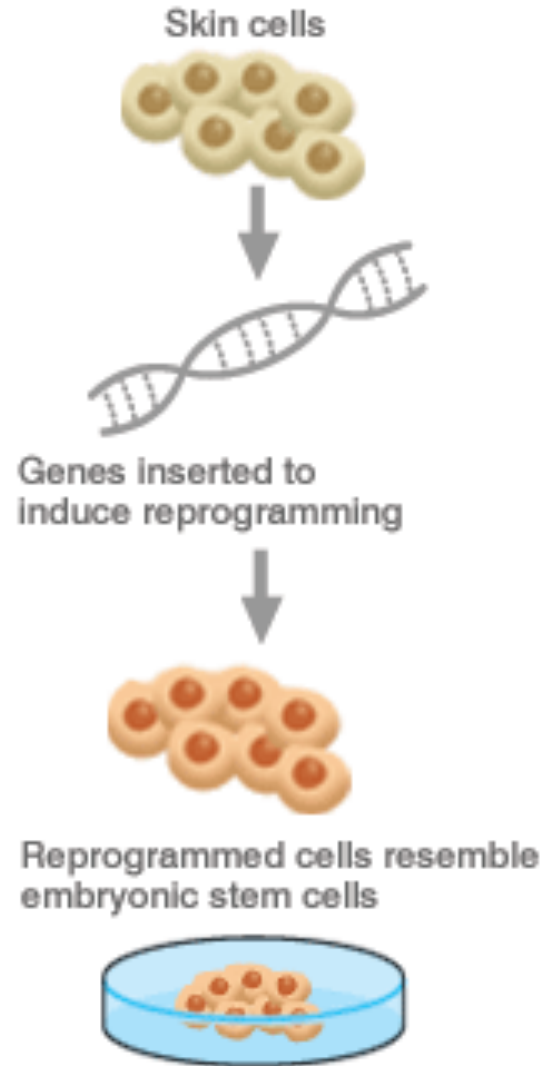
1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007
<b>July 1995</b> Congress bans federal financing of research on human embryos.	<b>July 1996</b> Dolly is born. The lamb is the first clone of an adult mammal.		<b>Nov. 1998</b> First isolation and cultivation of embryonic stem cells. The cells are derived from fertilized human eggs.			<b>Aug. 2001</b> President Bush announces that federal money will pay for research on existing stem cell lines, but not new lines.			<b>Nov. 2004</b> California voters approve a measure to spend \$3 billion over 10 years on embryonic stem cell research.			<b>Nov. 2007</b> New Jersey voters reject a measure to borrow \$450 million for stem cell research.

# SCNT vs. IPS cells

## Therapeutic cloning

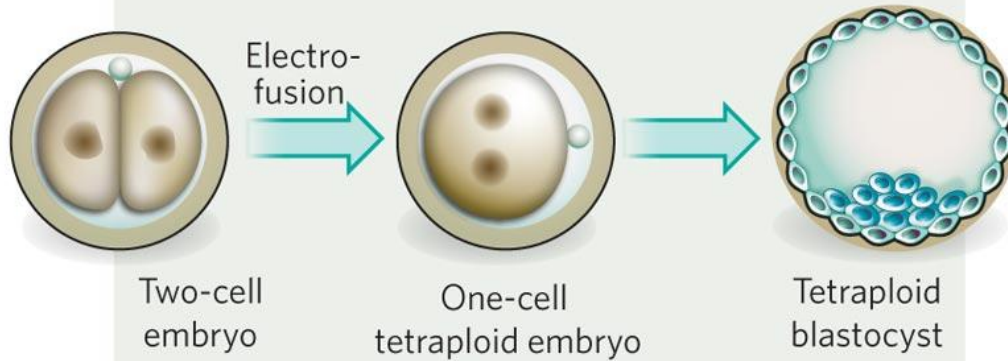


## Nuclear reprogramming

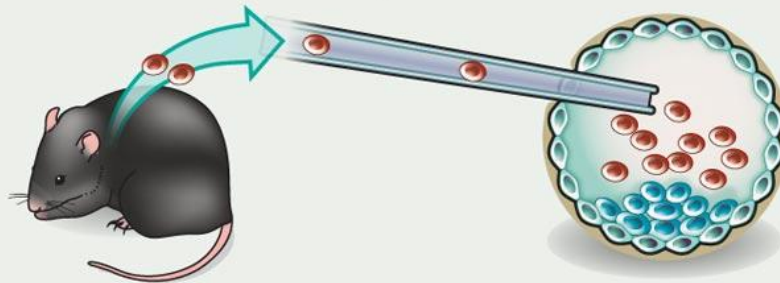


# MAKING AN iPS-CELL MOUSE

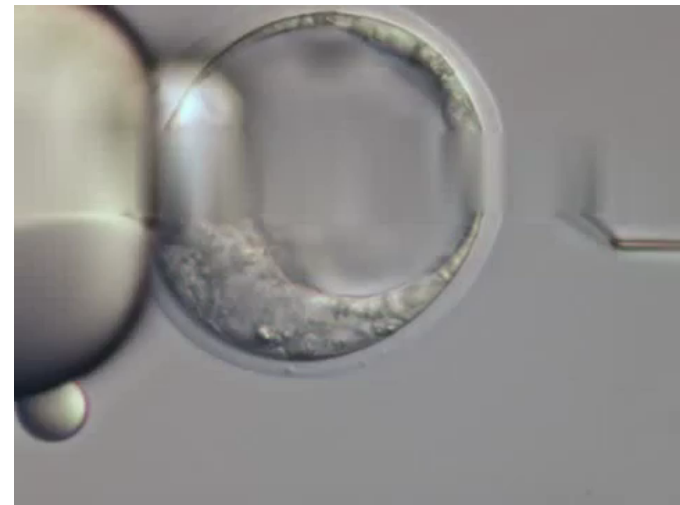
Two-cell embryo is fused to generate a tetraploid blastocyst



iPS cells are injected into the tetraploid blastocyst, which then steer development



Developing embryo is implanted in surrogate mother



Use iPS cells to study diseases!

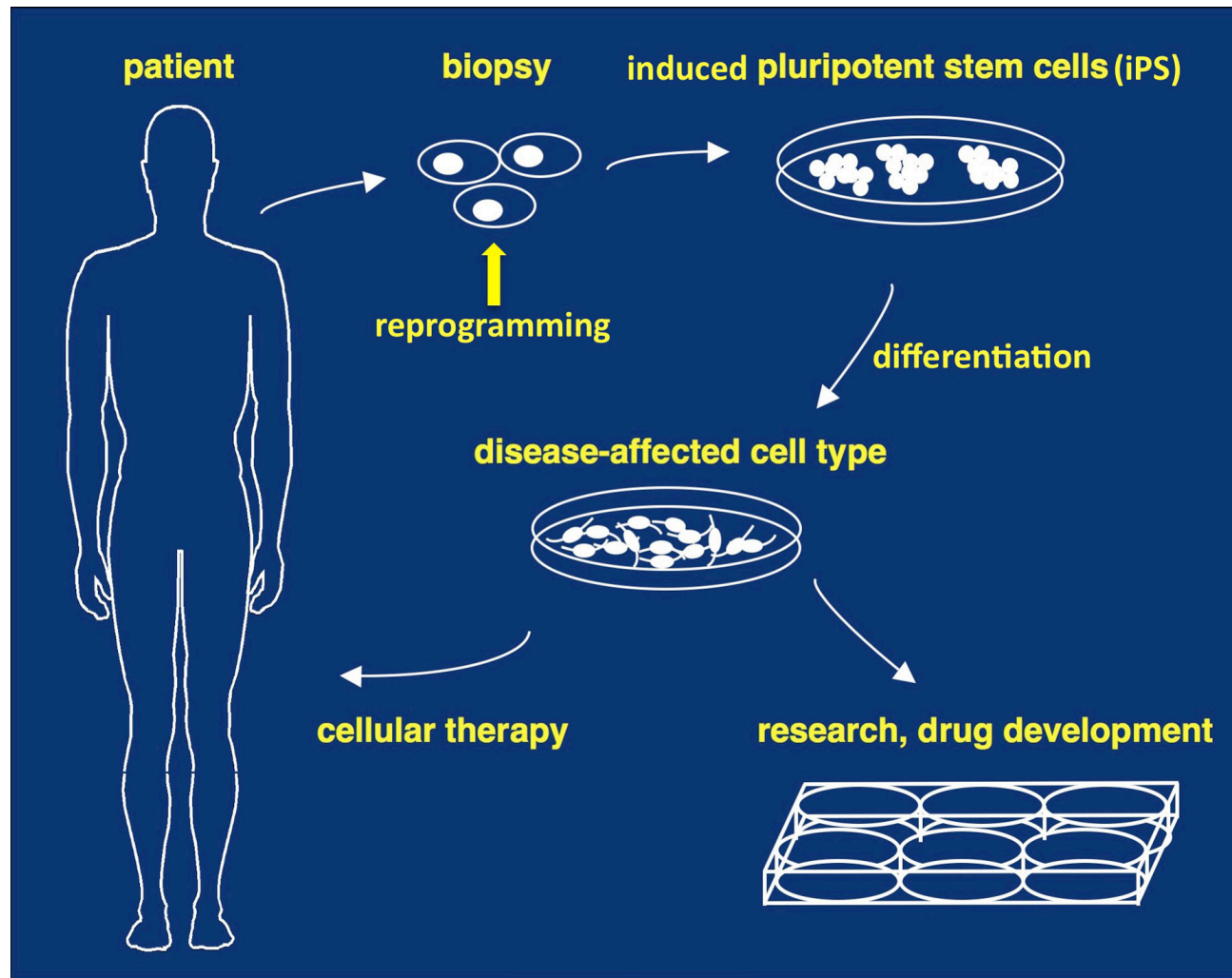


Table 1. iPS Cells Derived from Somatic Cells of Patients with Genetic Disease

Name	Disease	Molecular Defect	Donor Cell	Age	Sex
ADA	ADA-SCID	GGG >AGG, exon 7 and Del(GAAGA) exon 10, <i>ADA</i> gene	Fibroblast	3 M	Male
GD	Gaucher disease type III	AAC > AGC, exon 9, G-insertion, nucleotide 84 of cDNA, <i>GBA</i> gene	Fibroblast	20 Y	Male
DMD	Duchenne muscular dystrophy	Deletion of exon 45–52, <i>dystrophin</i> gene	Fibroblast	6 Y	Male
BMD	Becker muscular dystrophy	Unidentified mutation in <i>dystrophin</i>	Fibroblast	38 Y	Male
DS1, DS2	Down syndrome	Trisomy 21	Fibroblast	1 Y, 1 M	Male
PD	Parkinson disease	Multifactorial	Fibroblast	57 Y	Male
JDM	Juvenile diabetes mellitus	Multifactorial	Fibroblast	42 Y	Female
SBDS	Swachman-Bodian-Diamond syndrome	IV2 + 2T > C and IV3 – 1G > A, <i>SBDS</i> gene	Bone marrow mesenchymal cells	4 M	Male
HD	Huntington disease	72 CAG repeats, <i>huntingtin</i> gene	Fibroblast	20 Y	Female
LNSc	Lesch-Nyhan syndrome (carrier)	Heterozygosity of <i>HPRT1</i>	Fibroblast	34 Y	Female

Why is there controversy  
surrounding human ES cell  
research?



# Roe v. Wade (1973)

- Right to privacy extends to a woman's right to have an abortion
- Right must be balanced against the protection of prenatal life and mother's health

# background

- 1969, Norma McCorvey was pregnant with her third child
  - Tried to obtain a legal abortion by saying she was raped
  - Tried to obtain an illegal abortion without success
  - Eventually gave birth to the child before the case was resolved
- Sued in US district court in Texas under alias Jane Roe
  - Wade was Dallas county district attorney Henry Wade
- Court declined to issue an injunction against enforcement of laws barring abortion
- Reached supreme court on appeal, where it was ruled 7-2 in favor of Roe, deeming abortion a fundamental right under US constitution

# What does Roe v. Wade say about moral status and when personhood begins

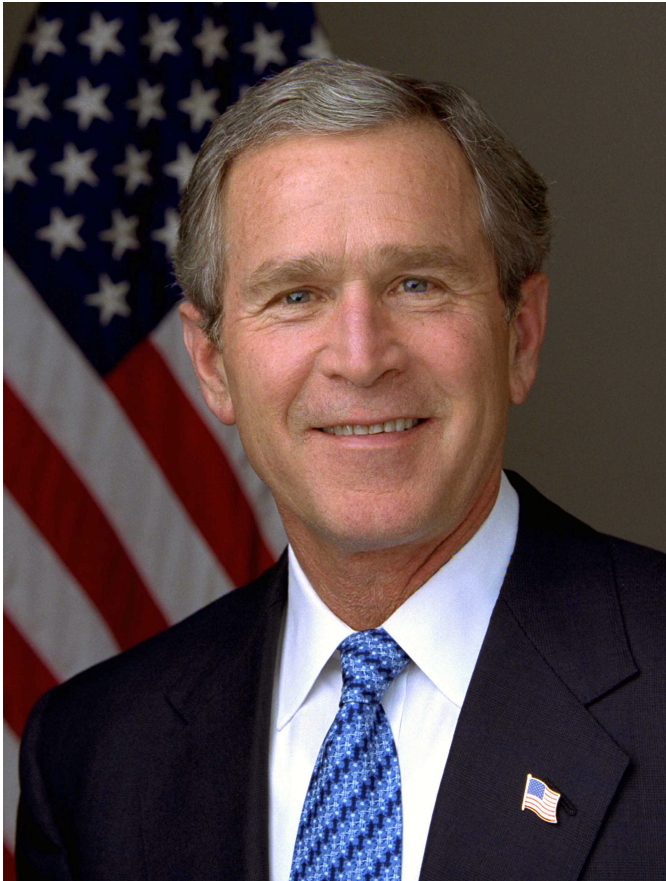
## ○ Original opinion:

- The state could not restrict abortion in the first trimester
- In second trimester, the state can issue regulations “that are reasonably related to maternal health”
- In third trimester, the state and regulate or prohibit abortion except “where it is necessary, in appropriate medical judgment, for the preservation of the life or health of the mother”

## ○ Planned Parenthood v. Casey (1992)

- Supreme court rejected rigid trimester formula, rather it asserted viability as the point where the protection of the life of the fetus outweighs the rights of the woman and abortion can be banned “except where it is necessary, in appropriate medical judgment, for the preservation of the life or health of the mother”

# Policy under George W. Bush



**August 9, 2001**

**Federal funds may be awarded for research using human embryonic stem cells if the following criteria are met:**

- The derivation process (which begins with the destruction of the embryo) was initiated **prior** to 9:00 P.M. EDT on August 9, 2001.
- The stem cells must have been derived from an embryo that was **created for reproductive purposes and was no longer needed**.
- **Informed consent** must have been obtained for the donation of the embryo and that donation **must not have involved financial inducements**.

**June 22, 2007**

**Executive order 13435**

- For purposes of this order, the term “human embryo” shall mean any organism, not protected as a human subject under 45 CFR 46 as of the date of this order, that is derived by fertilization, parthenogenesis, cloning, or any other means from one or more human gametes or human diploid cells.

**Fertilization to implantation!**

# Policy under Barack Obama



**March 9, 2009**

## **Executive order 13505**

- The Secretary of Health and Human Services (Secretary), through the Director of NIH, may support and conduct **responsible, scientifically worthy** human stem cell research, including human embryonic stem cell research, **to the extent permitted by law**.
- The Presidential statement of August 9, 2001, limiting Federal funding for research involving human embryonic stem cells, shall have no further effect as a statement of governmental policy.
- Executive Order 13435 of June 20, 2007, which supplements the August 9, 2001, statement on human embryonic stem cell research, is revoked.

# NIH Guidelines (July 2009)

ES cell research eligible for NIH funding if:

1. that were created using in vitro fertilization for reproductive purposes and were no longer needed for this purpose
2. that were donated by individuals who sought reproductive treatment (hereafter referred to as "donor(s)") and who gave voluntary written consent for the human embryos to be used for research purposes
3. No payments, cash or in kind, were offered for the donated embryos.
4. Decisions related to the creation of human embryos for reproductive purposes should have been made free from the influence of researchers proposing to derive or utilize hESCs in research.

Research NOT eligible for NIH funding:

1. NIH funding of the derivation of stem cells from human embryos is prohibited by the annual appropriations ban on funding of human embryo research (Section 509, Omnibus Appropriations Act, 2009, Pub. L. 111-8, 3/11/09), otherwise known as the Dickey Amendment.
2. Research using hESCs derived from other sources, including somatic cell nuclear transfer, parthenogenesis, and/or IVF embryos created for research purposes, is not eligible for NIH funding.

# Dickey-Wicker Amendment (1995)

Prohibits Dept HHS appropriations for:

- (1) the creation of a human embryo or embryos for research purposes; or
- (2) research in which a human embryo or embryos are destroyed, discarded, or knowingly subjected to risk of injury or death greater than that allowed for research on fetuses in utero

# Sherley v. Sebelius

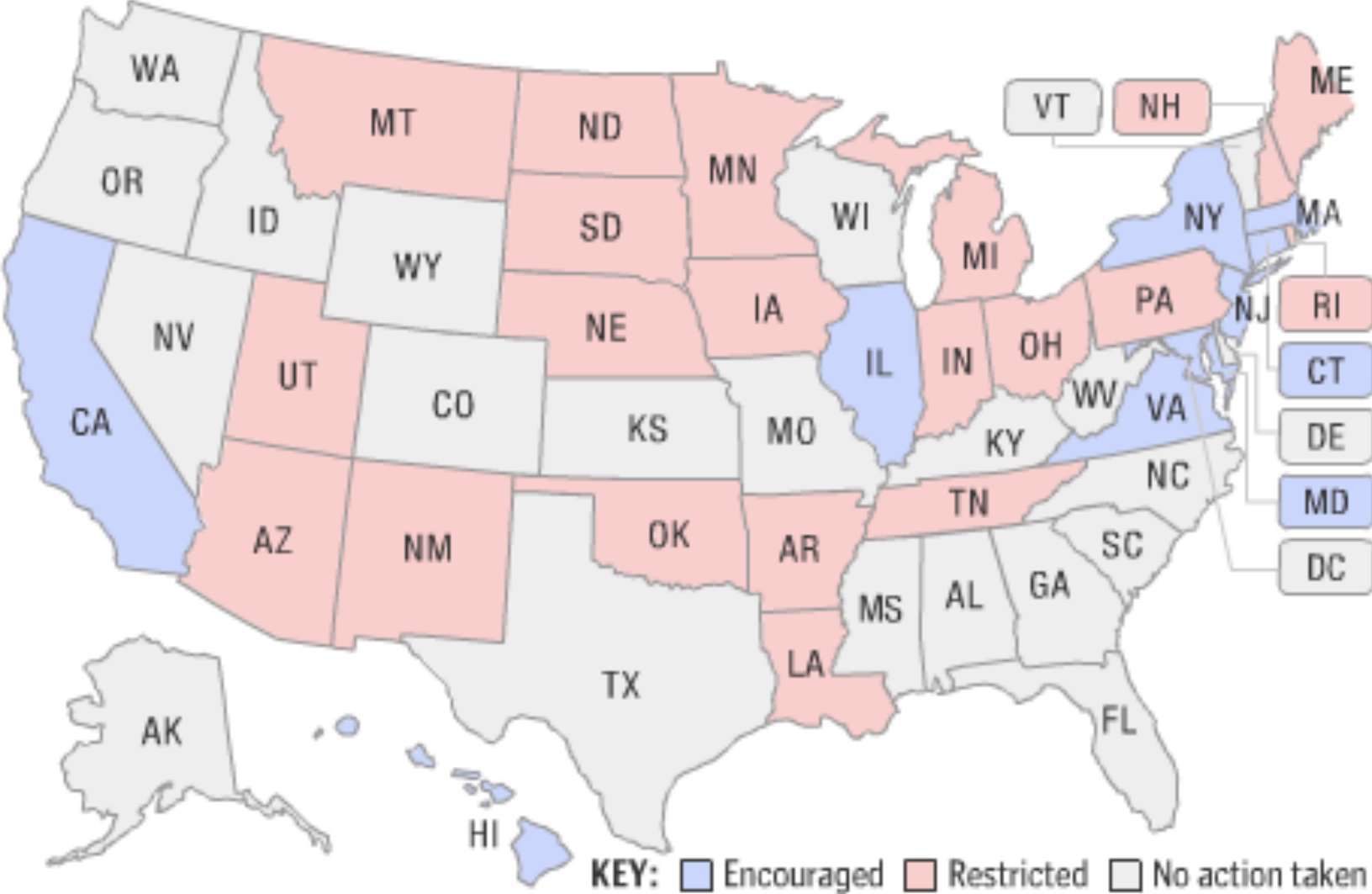
- 8/19/2009—complaint filed challenging the legality of NIH guidelines
- 8/23/2010—preliminary injunction from DC District court blocking implementation of NIH's 2009 guidelines, saying that it violates the Dickey-Wicker amendment
- 9/9/2010—preliminary injunction lifted pending decision from US court of appeals
- 4/29/2011—injunction vacated by US court of appeals. Using the Chevron doctrine, the court concluded that the Dickey-Wicker amendment is ambiguous and that NIH has acted reasonably in concluding that public funds could be used for human embryonic stem cell research. Also, the panel that the government would be harmed by the injunction more than the plaintiffs by not having one.



# State restrictions to research on embryos

- AR, IN, LA, MI, and ND have banned research on cloned embryos
- MO, MN, OH and PA have laws against research on embryos
- AZ and NE prohibits use of public monies for reproductive cloning

# Stem cell research policy by state:



# California Institute for Regenerative Medicine (CIRM)

- Created in 2004 through the passage of prop 71 (59% of vote)
  - Allocates grant money for research purposes
  - Sets appropriate regulatory standards
- Prop 71:
  - Makes conducting stem cell research a constitutional right
  - Uses general obligation bonds to fund scientific research (normally for brick and mortar projects)
  - Takes on typical federal government role of funding scientific research
  - Represents a unique example where the public decided to fund scientific research
- Issues 3 billion in grants funded by bonds over 10 years
  - Money can be used for all stem cell research, with priority for human embryonic stem cell research
- First research grants were awarded in 2007

# New Drug Development Timeline

**Pre-Clinical Testing, Research and Development**

**Clinical Research and Development**

**NDA Review**

**Post-Marketing Surveillance**

Range: 1-3 years  
Average: 18 months

Range: 2-10 years  
Average: 5 years

Range: 2 months-7 years  
Average: 24 months

Initial Synthesis

**Phase 1**

**Phase 2**

Animal Testing

**Short-Term**

**Phase 3**

**Long-Term**

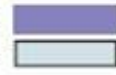
Adverse Reaction Reporting

Surveys/  
Sampling/  
Testing

Inspections

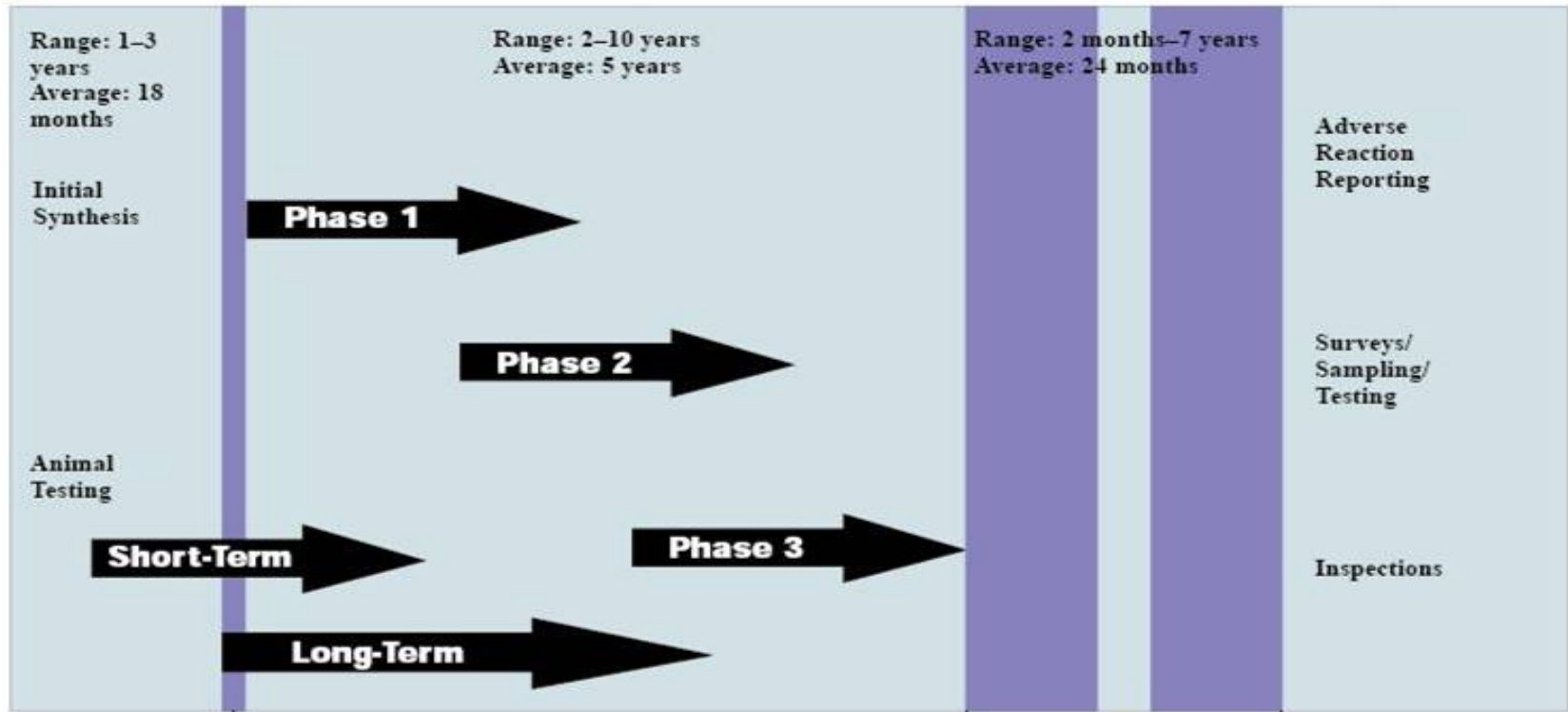
30-Day  
Safety Review

*FDA Time*  
*Industry Time*



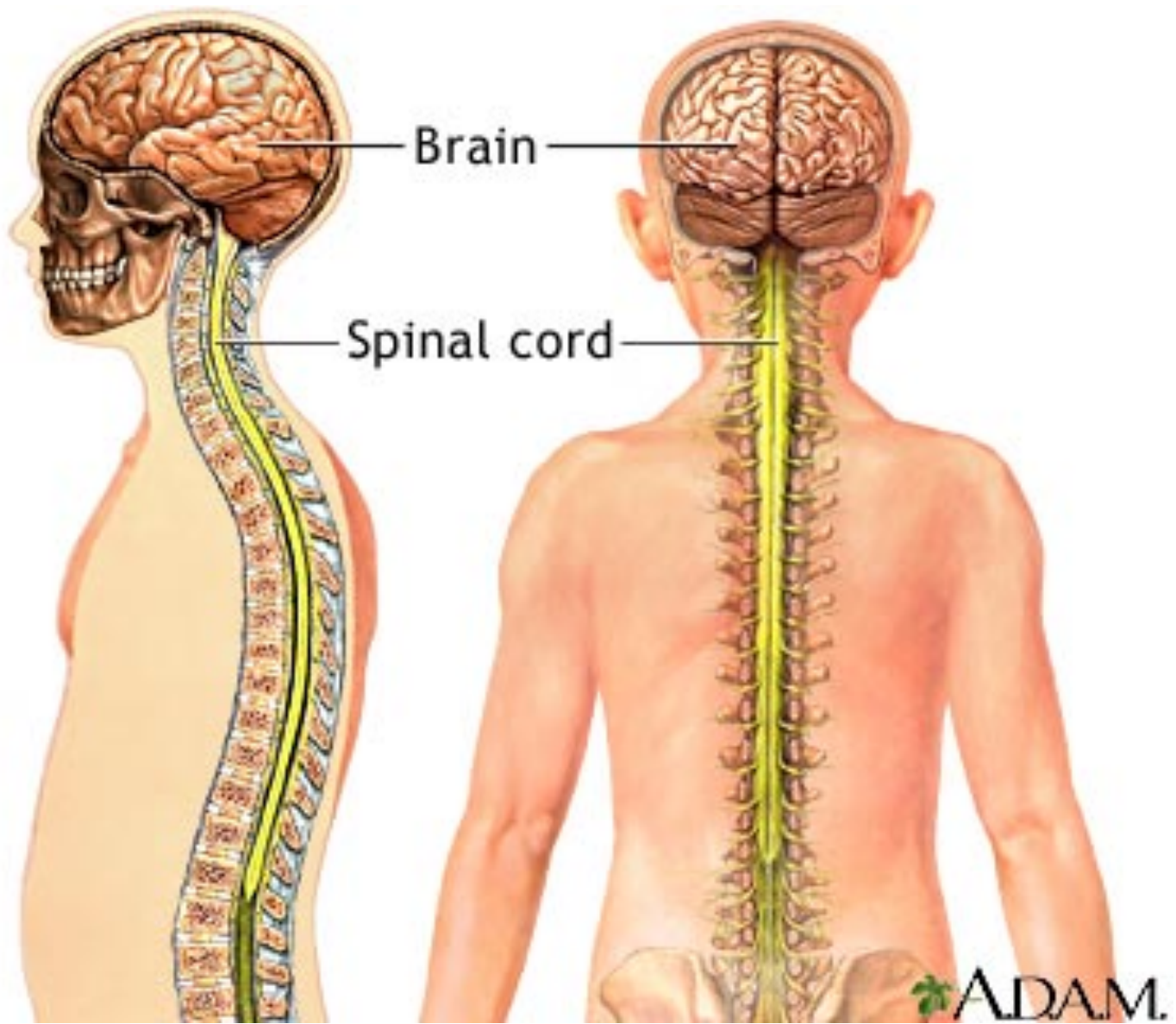
NDA  
Submitted

NDA  
Approved



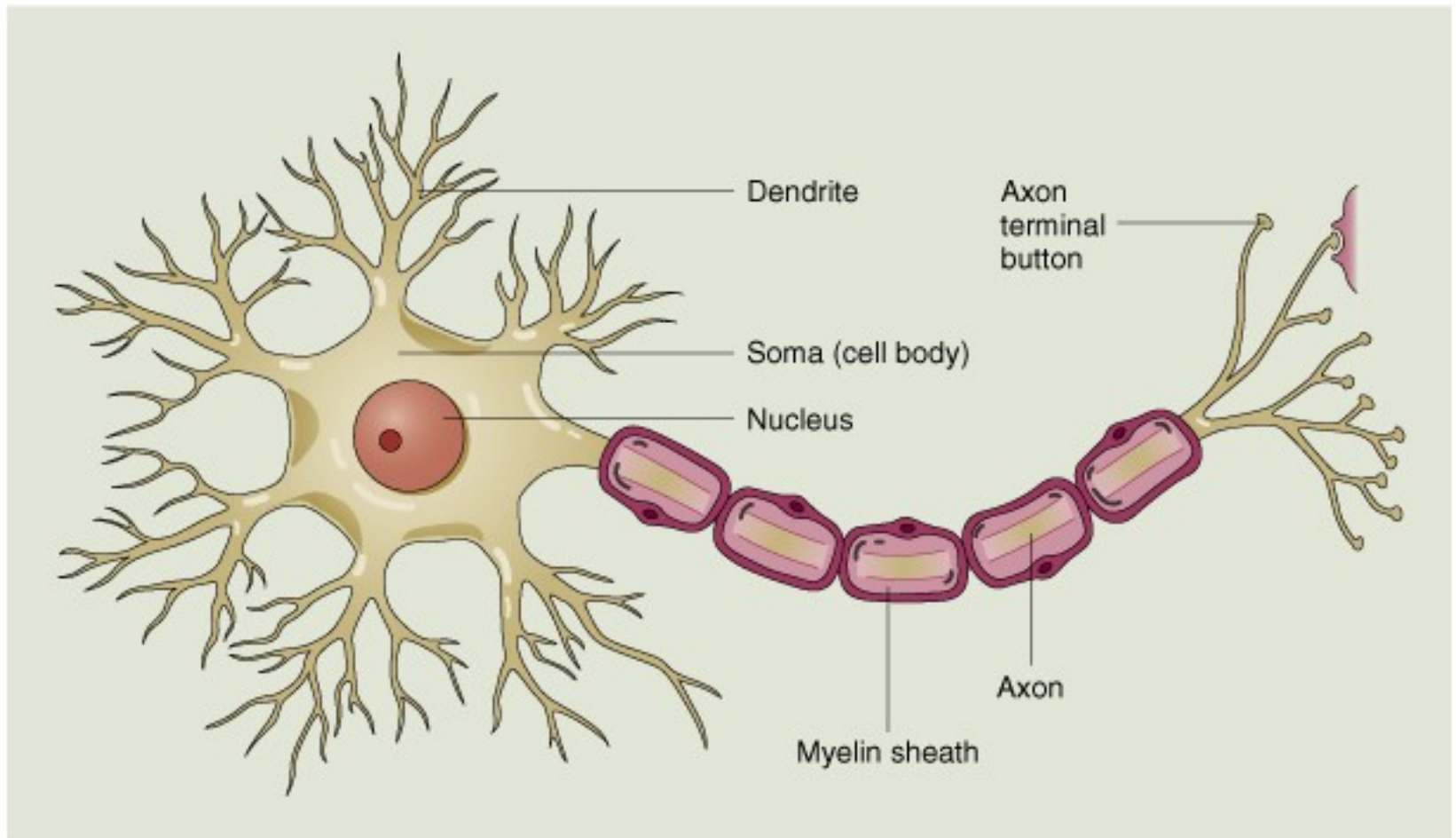
# Clinical Trials

- Phase I: Safety
  - Usually includes healthy (paid) volunteers
- Phase II: Efficacy
  - Patients are involved
  - Usually where drug fails
- Phase III---Randomized controlled trial
  - Involves larger numbers of patients
  - Compares efficacy of drug against current “gold standard” treatment
  - Expensive

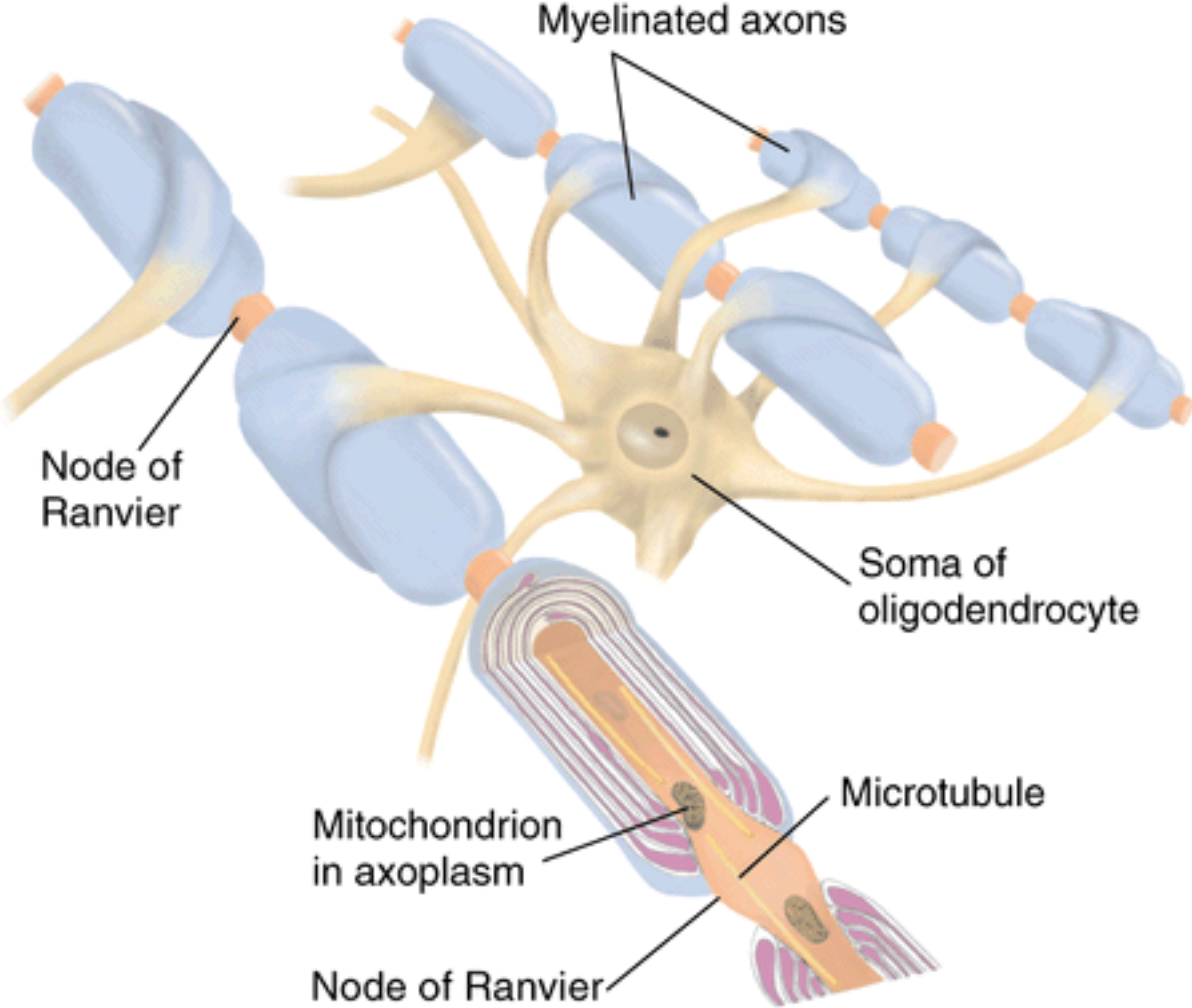


Brain

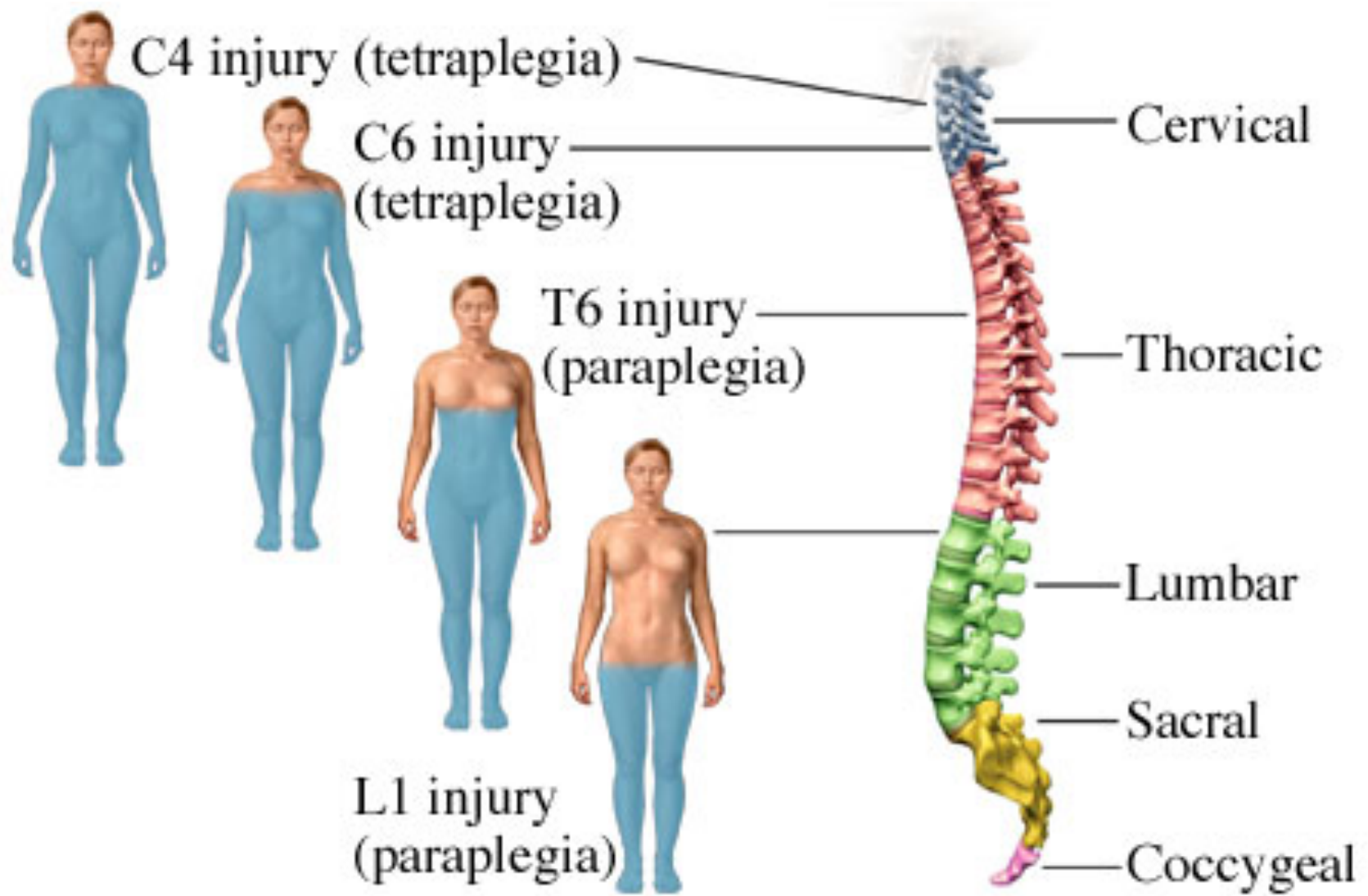
Spinal cord



► An Oligodendrocyte







C4 injury (tetraplegia)

C6 injury (tetraplegia)

T6 injury (paraplegia)

L1 injury (paraplegia)

Cervical

Thoracic

Lumbar

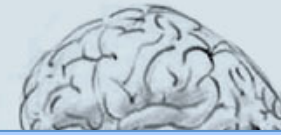
Sacral

Coccygeal

## GRNOPC1 Phase 1 Multi-Center Spinal Cord Injury Trial

- **Open Label Trial**
- **Subacute Spinal Cord Injury**
- **2x10<sup>6</sup> CFU**
- **Transdermal**
- **Temporary Immunosuppression with Low Dose Tacrolimus**
- **Primary Endpoint: Safety**
  - *Neurological*
  - *Overall*
- **Secondary Endpoint: Efficacy**
  - *ASIA Sensory Score*
  - *Lower Extremity Motor Score*

**Trial discontinued  
in November 2011**



Cervical

Thoracic

Lumbar

Sacral

# Hurdles to using stem cells for disease treatment

- Reproducibly proliferate and generate sufficient tissue
- Reproducibly differentiate into the desired cell type
- Delivery to desired organ
- Survive in the recipient after transplant
- Integrate into the surrounding tissue
- Function properly
- No harm (esp. ESC)