

Plants of Tomorrow

HC70A & PLSS059 Winter 2020 Genetic Engineering in Medicine, Agriculture, and Law

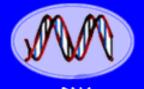
Professors Bob Goldberg & Channapatna Prakash

Lecture 9

Science & The Constitution: Regulating Science & Genetic Engineering

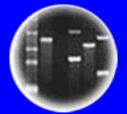








Entire Genetic Code of a Bacteria



DNA Fingerprinting



Cloning: Ethical Issues and Future Consequences



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THEMES

- 1. History of Genetics & Law in the US
- 2. Inborn Errors & Eugenics
- 3. Evolution and the Law
- 4. Historical Attempts to Regulate Science-The Genetic Engineering & Stem Cell Controversies
- 5. Examples of Regulating Science at the Federal and State Levels – Then & Now
- 6. Patenting Your Genes
- 7. Government of the United States
- 8. What is in the Constitution About Science-Directly & Indirectly?
- 9. Can Scientific Inquiry and Research Be Regulated?
- 10. Can Experimentation Be Regulated Directly?
- 11. Case Studies in Regulating Science Directly
- 12. Can Science Be Regulated Indirectly?
- 13. Regulating Science-A Summary





TEXT READING

Chapter 12 (Biotechnology Regulations) & Chapter13 (Ethics & Biotechnology)

Biotechnology Agencies, Laws, & Patents



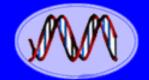




- 1. Cloning & The Constitution, By I.H. Carmen (1985)
- 2. A Practical Companion To The Constitution, By J.K. Lieberman (1999)
- 3. The Recombinant DNA Controversy: A Memoir, By D. S. Fredrickson (2001)
- 4. Genetics: Ethics, Law, and Policy, By Lori B. Andrews et al. (2002)
- 5. Biotechnology and The Law, By H.B. Wellons et al. (2007)
- 6. A Guide to Biotechnology Law & Business, By Robert A. Bohrer (2007)
- 7. The Role of Science in The Law, By Robin Feldman (2009)
- 8. Maryland vs. King, US Supreme Court, June, (2013)
- 9. The History of Patenting Genetic Material, By Jacob E. Cherkow & Henry T. Greely, Annu. Rev. Genetics, 49, 161-182 (2015)
- 10. Diagnostics Need Not Apply, By Rebecca S. Eisenberg, J. Science & Technology Law, 21.2 (2015)
- 11. Constitutional Law, By Erwin Chemerinsky (2015)
- 12. Patent, Copyright, & Trademark, By R. Stim (2016)
- 13. Imbeciles; The Supreme Court, American Eugenics, & The Sterilization of Carrie Buck, By Adam Cohen (2016)
- 14. A Crack in the Creation, By Jennifer Doudna and Samuel Sternberg (2017)

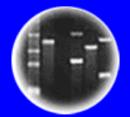








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"When Ideology 'Infects' Science, It Always Leads to a Disaster" Bob Goldberg

"I Beseech You in the Bowels of Christ, Think It Possible You May Be Mistaken" Oliver Cromwell Quoted by J. Bronowski



"Laws and institutions must go hand in hand with the progress of the human mind. As that becomes more developed, more enlightened, as new discoveries are made, new truths disclosed, and manners and opinions change with the change of circumstances, <u>institutions must</u> <u>advance also, and keep pace with the times</u>." Thomas Jefferson, July 12, 1810

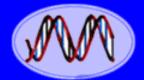
Was 1820 Science the Same as 2020 Science?

What Was Known About Biology in 1820?

- The Cell (1665)
- Scientific Method (1637)
- Living From Living (1668)
- Microscope and Microorganisms van Leeuwenhoek (1674)
- Modern Organism Classification System Linnaeus (1735)
- Smallpox Vaccination (1796)
- Lamarckian Evolution (1809)

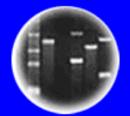








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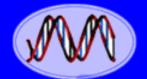




What is the The Relationship Between Genetics and The Law in the United States?



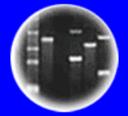




DNA Genetic Code of Life



Entire Genetic Code of a Bacteria



DNA Fingerprinting



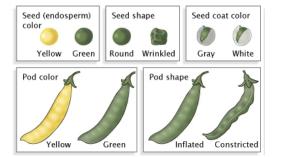
Cloning: Ethical Issues and Future Consequences

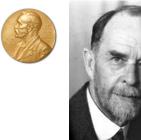


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Gregor Mendel

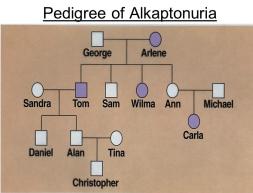


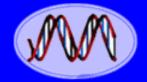


Thomas H. Morgan

The Beginning of the 20th Century Was Similar to the Beginning of the 21st Century – New Discoveries in Genetics Led to Many New Ethical and Societal Issues Discovery of Genetics vs. Sequencing the Human Genome

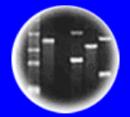
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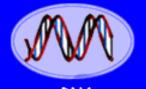
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Mendel's Laws of Genetics Were Rediscovered in 1900!

Three Botanists – Hugo DeVries, Carl Correns, and Erich von Tschermak – Independently Rediscovered Mendel's Work* in 1900 [*from the Proceedings of the Natural History Society of Brünn in 1866]

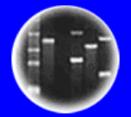


The Word <u>Gene</u> Was Invented to Describe the Physical Properties of Inheritance in 1909 by the Botanist Wilhelm Johannsen. And Thomas Hunt Morgan Showed That Genes Are On Chromosomes In 1910! William Bateson First Used the Word <u>Genetics</u> (From Greek Gennō, Γεννώ; "To Give Birth") to Describe the Study of Inheritance In 1905.





Entire Genetic Code of a Bacteria



DNA Fingerprinting



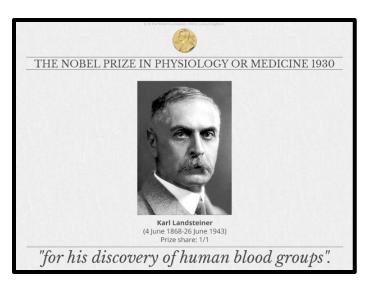
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Human Genetics Was Born in 1900

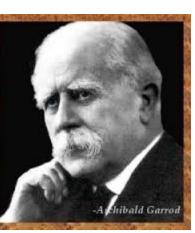
The ABO Blood Types Were the <u>First Human Traits</u> Discovered That Followed Mendelian Inheritance (1900)



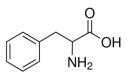
Landsteiner

Alkaptonuria (Black Urine/Bone Disease) Was <u>the First Human</u> <u>Disease</u> Shown to Follow Mendelian Inheritance (1902)

> Garrod (with help from Bateson)



Defect in Amino Acid Phenylalanine Metabolism



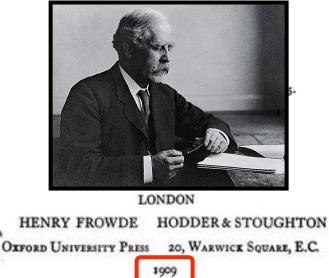
Garrod Discovered That Human Metabolic Diseases Have a Genetic Basis and Follow Mendelian Rules of Inheritance. He Hypothesized That Genetic Diseases Were Due to a Missing Steps in a Body's Chemical Reactions

INBORN ERRORS OF METABOLISM

The Croonian Lectures delivered before the Royal College of Physicians of London, in June, 1908

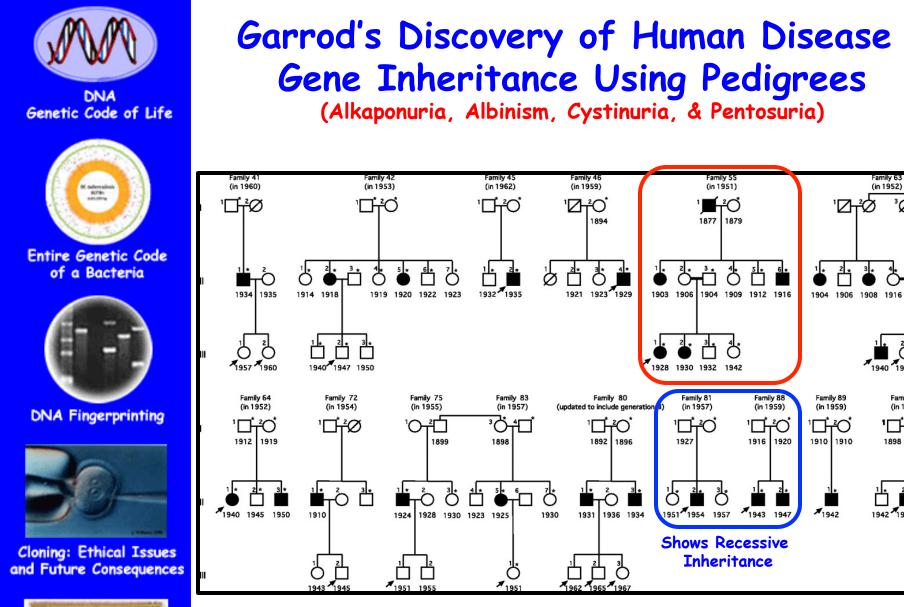
> By ARCHIBALD E. GARROD D.M., M.A. OXON.

Fellow of the Royal College of Physicians. Assistant Physician to, and Lecturer on Chemical Pathology at St. Bartholomew's Hospital. Physician to the Hospital for Sick Children, Great Ormond Street



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It appears to me that the strongest argument which can be adduced in favour of this view that alkaptonuria is a Mendelian recessive character is afforded by the fact that albinism, which so closely resembles it in its mode of incidence in man, behaves as a recessive character in the experimental breeding of animals.³² Nor do the figures quoted by Bateson³⁰ relating to the proportion of albino members in human families show any more close conformity to the requirements of Mendel's law than do those above quoted for alkaptonuric families.



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Garrod's Families Were Studied Until the 1960s!

Family 63

(in 1952)

3Q ťΖ

1907

1940 1943 1949

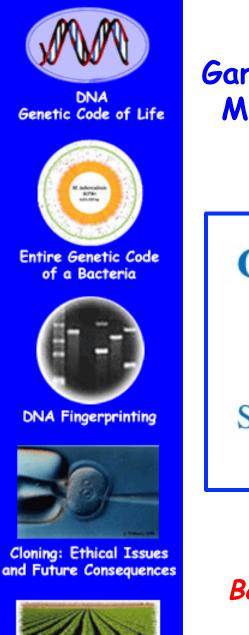
Family 94

(in 1963)

1942 1945 194

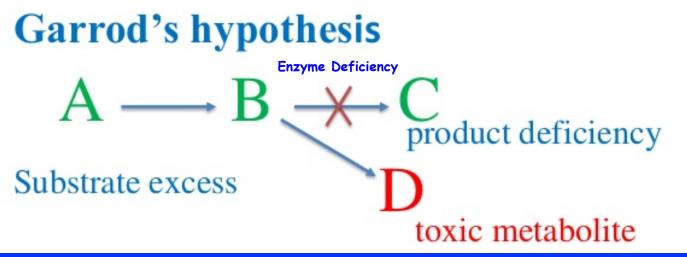
1898 1907

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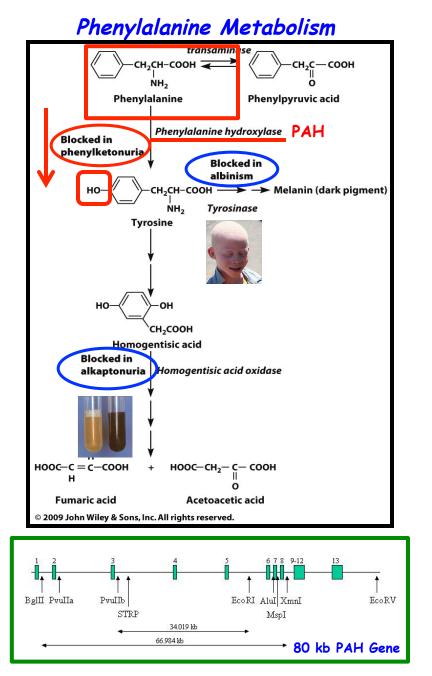
Garrod Hypothesized That Inherited Defects in Metabolic Pathways Lead To Toxic Compound Accumulation That Cause the Disease



Garrod Was the First to Propose a Relationship Between Genes and Enzymes and Metabolic Defects

20 Years Later Griffith Discovered the "Transforming Principle" in Pneumonia Bacteria

Inborn Errors of Metabolism - Phenylketonuria (Asbjørn Følling: Norway, 1934)



Phenylketonuria (PKU) Phenylalanine H_2N Recessive OH. H_2N Affected **Autosomal Recessive Inheritance** People with PKU Have a Defective PAH Enzyme After they are eaten, Protein . proteins are broken down into individual amino acids . Amino acids One of these amino acids is phenylalanine PAH PKU: Toxic levels Enzyme of phenylalanine PAH gene Normally, the PAH People with PKU have a defective PAH enzyme, enzyme breaks down so toxic levels of phenylalanine phenalalanine build up Chromosome 12 in their bodies.

Recessive Gene Inheritance



PHENYLKETONURIA (1/15,000 US Children)



SYMPTOMS

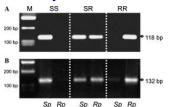
- Phenylalanine plays a role in the body's production of melanin, the pigment responsible for skin and hair color. Therefore, infants with the condition often have lighter skin, hair, and eyes than brothers or sisters without the disease.
- Delayed mental and social skills
- Head size significantly below normal
- Hyperactivity
- Jerking movements of the arms or legs
- Intellectual disability
- Seizures
- Skin rashes
- Tremors
- Unusual positioning of hands

TESTS (Preventing PKU)

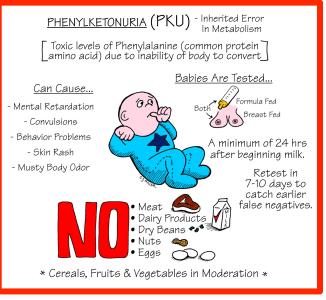
- PKU can be easily detected with a <u>simple blood test</u>. All states in the US require a PKU screening test for all newborns as part of the newborn screening panel. The test is generally done by taking a few drops of blood from the baby before the baby leaves the hospital.
- <u>DNA</u> Testing

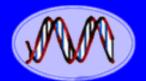








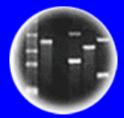




DNA Genetic Code of Life



Entire Genetic Code of a Bacteria



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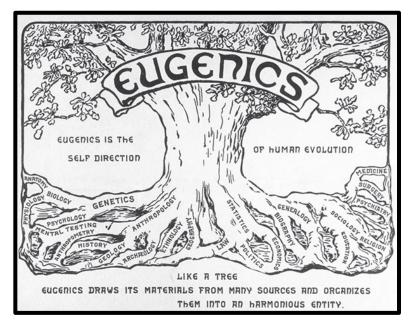


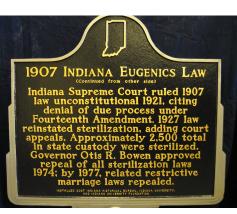
Cloning: Ethical Issues and Future Consequences



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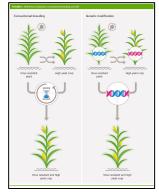
The Eugenics Movement in Early 20th Century Led to the Idea that Genetics Could be Used For the Improvement of Humanity Of Course - Whose Improvement and What "Traits" Should Be Improved! And Who Should Decide!





Selective Breeding

VI.





MARCH, 1924. Extra The New Virginia Law **To Preserve Racial Integrity** PLECKER, M. D., State Registrar of Vital Statistics, Richmon enate Bill 219, To preserve racial integrity, passed the 8, 1924, and is now a law of the State.

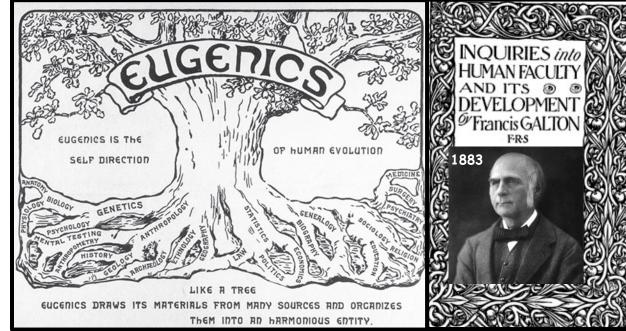
his bill aims at correcting a condition which only the more the ople of Virginia know the existence of.

is estimated that there are in the State from 10,000 to 1 ly more, near white people, who are known to possess an re of colored blood, in some cases to a slight extent it is tru igh to propert them from being white





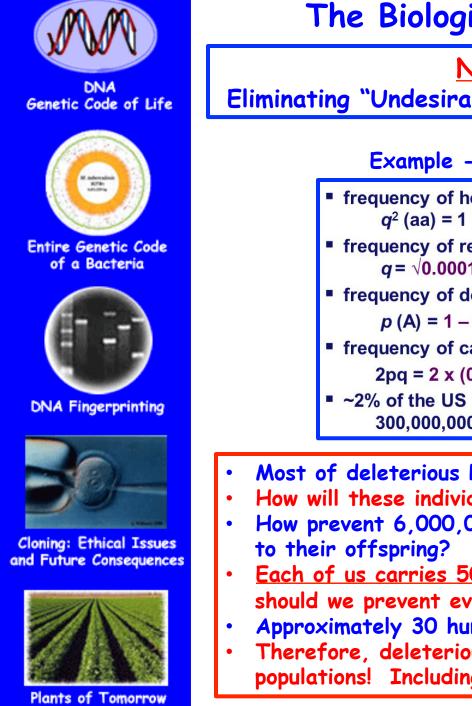
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<u>Negative Eugenics</u> Eliminating "Undesirable Traits" From Human Populations

Positive Eugenics Enhancement or Increasing "Desired" Human Traits

> By "Discouraging" or "Encouraging" Reproduction Between Individuals This Idea Ultimately Lead to Horrible Human Tragedies - From Discrimination in Immigration and Society to Sterilization to Genocide!



The Biological Fallacy of Eugenics

Negative Eugenics

Eliminating "Undesirable Traits" From Human Populations

Example - Phenylketonuria (PKU)

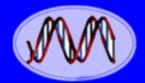
- frequency of homozygous recessive individuals q^2 (aa) = 1 in 10,000 = 0.0001
- frequency of recessive allele (q): $q = \sqrt{0.0001} = 0.01$
- frequency of dominant allele (p):

p(A) = 1 - 0.01 = 0.99

frequency of carriers, heterozygotes:

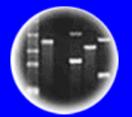
 $2pq = 2 \times (0.99 \times 0.01) = 0.0198 = -2\%$

- ~2% of the US population carries the PKU allele $300,000,000 \times .02 = 6,000,000$ people
- Most of deleterious PKU alleles are in heterozygotes
- How will these individuals be identified?
- How prevent 6,000,000 individuals from passing the PKU allele
- Each of us carries 50 to 100 variants in known disease genes should we prevent everyone from reproducing?
- Approximately 30 human genes are mutated every generation.
- Therefore, deleterious alleles will reappear in human populations! Including dominant genes!





Entire Genetic Code of a Bacteria



DNA Fingerprinting



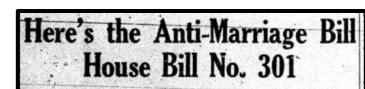
Cloning: Ethical Issues and Future Consequences



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CHINESE NO! NO! NO!

H 116 EUGENICS BOARD State action led to the sterilization by choice or coercion of over 7,600 people, 1933-1973. Met ofter 1939 one block E.

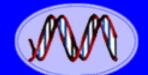


Other Consequences of the Eugenics Movement in the US

Immigration Laws

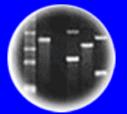
Sterilization Laws

Miscegenation Laws





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Immigration Act of 1924 - Johnson-Reed Act

- The Immigration Act of 1924 limited the number of immigrants allowed entry into the United States through a national origins quota.
- The basic purpose of the 1924 Immigration Act was to <u>preserve the ideal of U.S. homogeneity.</u>
- The Act of 1924 established that even Asians not previously prevented from immigrating – the Japanese in particular – would no longer be admitted to the United States.
- The Act of 1924 effectively excluded from entry anyone born in a geographically defined "Asiatic Barred Zone" which was defined in the Immigration Act of 1917.
- The percentage of visas available to individuals from the British Isles and Western Europe increased, but newer immigration from other areas like Southern and Eastern Europe was very limited (Italians, Poles, Hungarians, Jews, etc.).

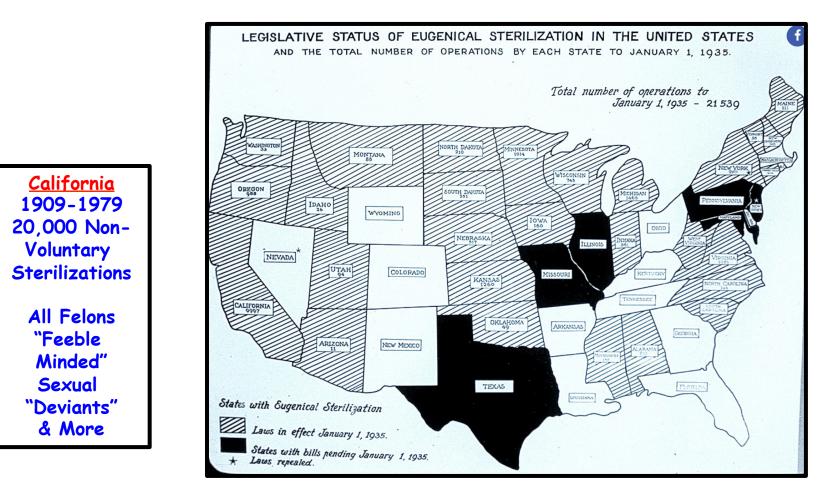
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Korematsu vs. United States, 1944



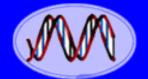
State Sterilization Laws 1925

Government Intervention to Promote Biological Improvement of Humans



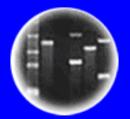
64,000 Forced Sterilizations in US - Last one in Oregon in 1981 (Tubal Ligations & Vasectomies)

Two States Have Offered Reparations For Forced Sterilization North Carolina (\$50,000) & Virginia (\$25,000)





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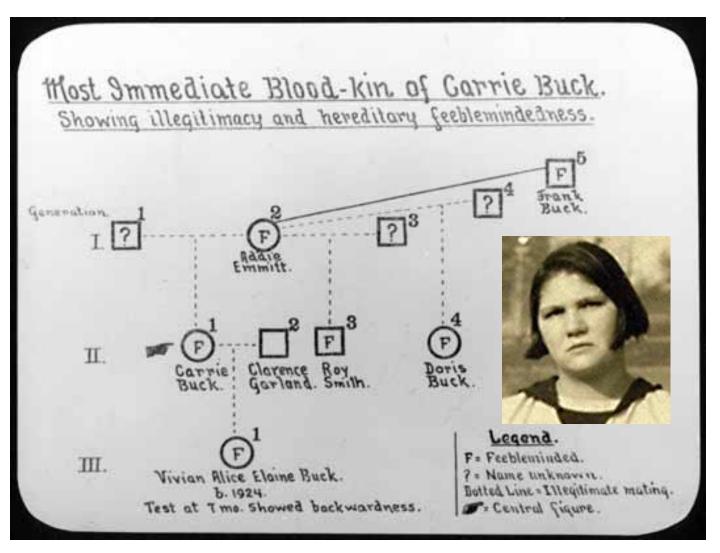
Q 28 BUCK v. BELL In 1924. Virginia. like a majority of states then.

enacted eugenic sterilization laws. Virginia's law allowed state institutions to operate on individuals to prevent the conception of what Charlottesville native Carrie Buck (1906-1983). involuntarily committed to a state facility near Lynchburg, was chosen as the first person to be sterilized under the new law. The U.S. Supreme Court, in Buck v. Bell, on 2 May 1927, affirmed the Virginia law. After Buck more than 8,000 other Virginians were sterilized before the most relevant parts of the act were repealed in 1974. Later evidence eventually showed that Buck and many others had no "hereditary defects."

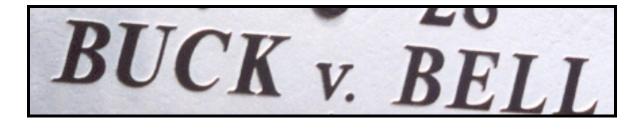
DEFARTMENT OF HISTORIC RESOURCES, 2002

Copyright © The New York Times

One of the Most Famous Sterilization Cases in US Legal History Carrie Buck (Buck vs. Bell)



State of Virginia Colony For Epileptics & Feebleminded- 1924



The ruling was written by Justice Oliver Wendell Holmes. In support of his argument that <u>the interest of the states in a "pure" gene pool</u> <u>outweighed the interest of individuals in their bodily integrity</u>, he argued in 1927:

"We have seen more than once that the public welfare may call upon the best citizens for their lives. It would be strange if it could not call upon those who already sap the strength of the State for these lesser sacrifices, often not felt to be such by those concerned, in order to prevent our being swamped with incompetence. It is better for all the world, if instead of waiting to execute degenerate offspring for crime, or to let them starve for their imbecility, society can prevent those who are manifestly unfit from continuing their kind. The principle that sustains compulsory vaccination is broad enough to cover cutting the Fallopian tubes."

Holmes concluded his argument with the infamous phrase <u>"Three</u> <u>generations of imbeciles are enough.</u>"



Im.be.ciles (The Supreme Court, American Eugenics, and the Sterilization of Carrie Buck **Adam Cohen** 2016 **Copyrighted Material**

Nazi Forced Sterilization Law of 1933 For the "Prevention of Hereditarily Diseased Offspring" Was Modeled After California Sterilization Laws

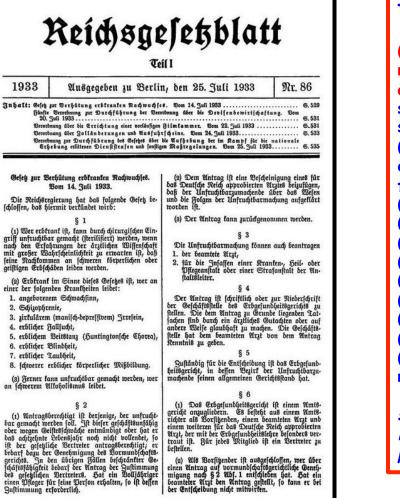


Abbildung 1

The	basic	provisions	of	the	1933	law	stated	that:	
-----	-------	------------	----	-----	------	-----	--------	-------	--

Any person suffering from a hereditary disease may be rendered incapable of procreation by means of a surgical operation (sterilization), if the experience of medical science shows that it is highly probable that his descendants would suffer from some serious physical or mental hereditary defect.
For the purposes of this law, any person will be considered as hereditarily diseased who is suffering from any one of the following diseases: -

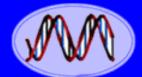
- (1) Congenital Mental Deficiency,
- (2) Schizophrenia,
- (3) Manic-Depressive Insanity,
- (4) Hereditary Epilepsy,
- (5) Hereditary Chorea (Huntington's),
- (6) Hereditary Blindness,

(7) Hereditary Deafness,

(8) Any severe hereditary deformity.

(3) Any person suffering from severe alcoholism may be also rendered incapable of procreation.[2]

The law applied to anyone in the general population, making its scope significantly larger than the compulsory sterilization laws in the United States, which generally were only applicable on people in psychiatric hospitals or prisons.



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of a Bacteria



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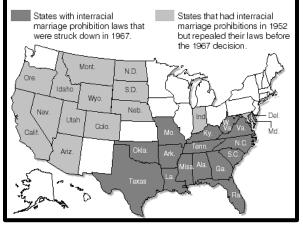
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Miscegenation Laws

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Banning Interracial Marriage

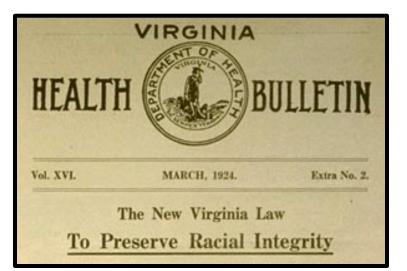
In 1967, the United States Supreme Court struck down laws in 16 states prohibiting interracial marriages. Fifteen years earlier, 14 other states had also banned interracial marriage but repealed their laws before the 1967 decision.



Justices Upset All Bans On Interracial Marriage

9-to-0 Decision Rules Out Virginia Law—15 Other States Are Affected

<u>Equal Protection Question</u> - Is the government's classification justified by a sufficient purpose? Can the government identify an important objective for discrimination? Not Racial discrimination!



Loving vs. Virginia (1967)

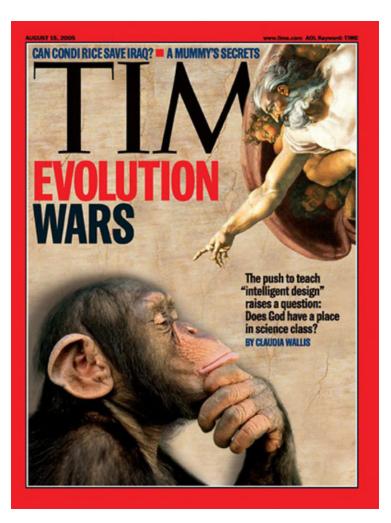
- Law Denied Equal Protection
- Law Deprived Constitutionally Protected Liberty Without Due

Process – "the freedom to marry has long been recognized as one of the vital personal rights essential to the orderly pursuit of happiness by free men. Marriage is one of the basic civil rights on man, fundamental to our very existance and survival. The la surely deprives all of the State's citizens of liberty without due process"

• Set a Precedent For Obergefell vs. Hodges Which Ruled That Same Sex Marriages Are Constitutional (2015)!!

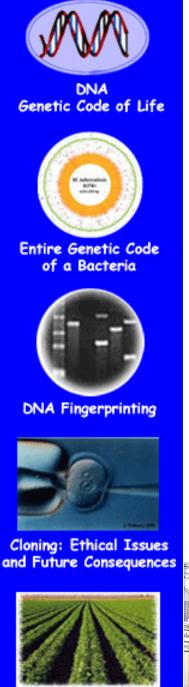


Teaching Evolution & The Law



Biology Into the Courtroom

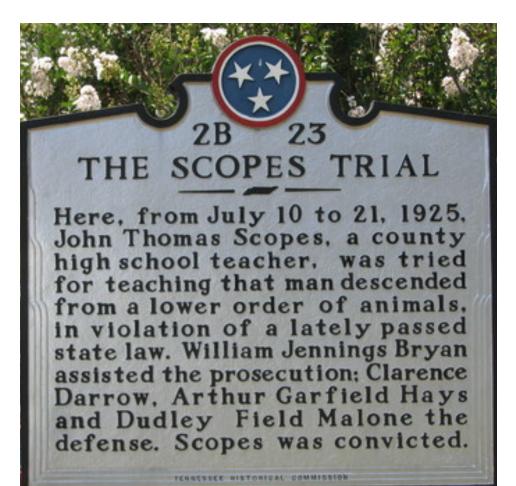
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The Scopes "Monkey" Trial

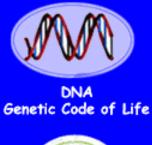


21 March 1925

Butler Act prohibiting teaching of human evolution enacted.

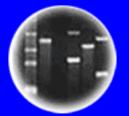








entire Genetic Code of a Bacteria



DNA Fingerprinting



Cloning: Ethical Issues and Future Consequences



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Teaching Evolution Court Battles



Arkansas Prohibited Teaching of Human Evolution in Schools –1928 Was Declared Unconstitutional in 1968

Epperson vs. Arkansas - 1968

Justice Abe Fortas stated that the law had been based solely on the beliefs of fundamentalist Christians, who felt that evolutionary theories directly contradicted the biblical account of Creation. This use of state power to prohibit the teaching of material objectionable to a particular sect amounted to an <u>unconstitutional</u> <u>establishment of religion (1st Amendment)</u> Permanent Address: http://www.scientificamerican.com/article.cfm?id=scopes-creationism-education

The Scopes Strategy: Creationists Try New Tactics to Promote Anti-Evolutionary Teaching in Public Schools

Under the guise of "academic freedom" creationists are co-opting some old heroes of the fight to teach evolution in the classroom for their anti-science campaign

By Lauri Lebo | Monday, February 28, 2011 | 🔫 23

Ten Major Court Cases about Evolution and Creationism

 In 1968, in *Epperson v. Arkansas*, the United States Supreme Court invalidated an Arkansas statute that prohibited the teaching of evolution. The Court held the statute unconstitutional on the grounds that the First Amendment to the U.S. Constitution does not permit a state to require that teaching and learning must be tailored to the principles or prohibitions of any particular religious sect or doctrine. (*Epperson v. Arkansas* (1968) 393 U.S. 97, 37 U.S. Law Week 4017, 89 S. Ct. 266, 21 L. Ed 228)

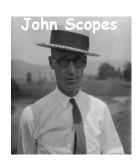
4. In 1987, in *Edwards v. Aguillard*, the U.S. Supreme Court held unconstitutional Louisiana's "Creationism Act". This statute prohibited the teaching of evolution in public schools, except when it was accompanied by instruction in "creation science". The Court found that, by advancing the religious belief that a supernatural being created humankind, which is embraced by the term creation science, the act impermissibly endorses religion. In addition, the Court found that the provision of a comprehensive science education is undermined when it is forbidden to teach evolution except when creation science is also taught. (*Edwards v. Aguillard* (1987) 482 U.S. 578)

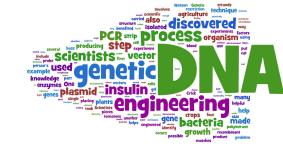
Creationism + Evolution Laws Intelligent Design + Evolution Laws Unconstitutional

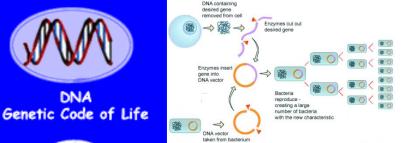
10. On December 20, 2005, in *Kitzmiller et al. v. Dover*, U.S. District Court Judge John E. Jones III ordered the Dover Area School Board to refrain from maintaining an Intelligent Design Policy in any school within the Dover Area School District. The ID policy included a statement in the science curriculum that "students will be made aware of gaps/problems in Darwin's Theory and other theories of evolution including, but not limited to, intelligent design." Teachers were also required to announce to their biology classes that "Intelligent Design is an explanation of the origin of life that differs from Darwin's view. The reference book Of Pandas and People is available for students to see if they would like to explore this view in an effort to gain an understanding of what Intelligent Design actually involves. As is true with event the set of what Intelligent Design actually

an open mind". In his 139-page ruling, Judge Jones wrote it was "abundantly clear that the Board's ID Policy violates the Establishment Clause". Furthermore, Judge Jones ruled that "ID cannot uncouple itself from its creationist, and thus religious, antecedents". In reference to whether Intelligent Design is science Judge Jones wrote ID "is not science and cannot be adjudged a valid, accepted scientific theory as it has failed to publish in peer-reviewed journals, engage in research and testing, and gain acceptance in the scientific community". This was the first challenge to the

constitutionality of teaching intelligent design in the public school science classroom. (Tammy Kitzmiller, et al. v. Dover Area School District, et al., Case No. 04cv2688)



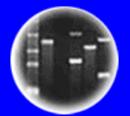






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Cloning: Ethical Issues and Future Consequences

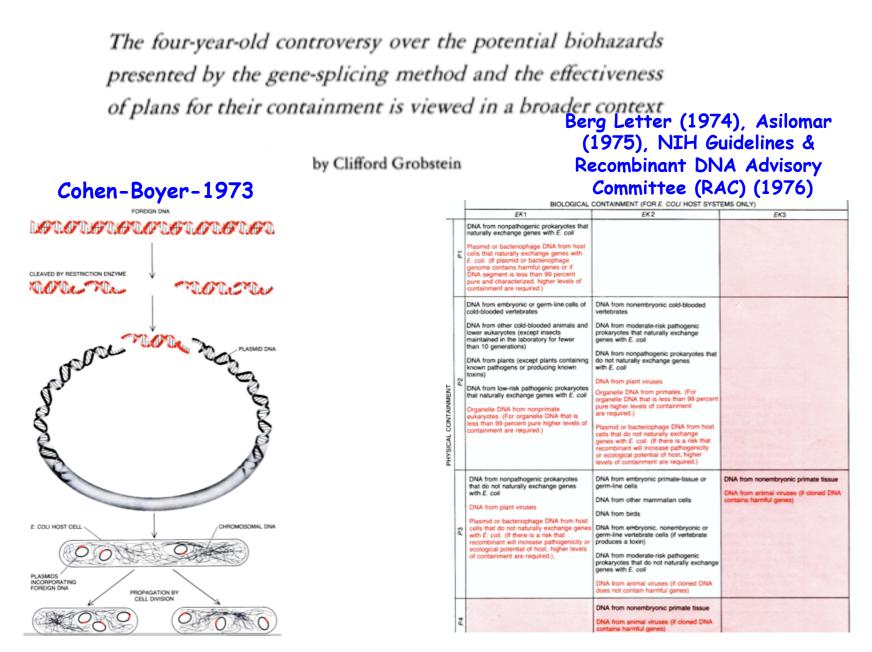


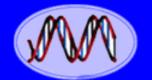
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Regulating Genetic Engineering at the Local, State, & Federal Levels The Past

The Recombinant DNA Controversy: A Memoir, By D.S. Fredrickson (2001)

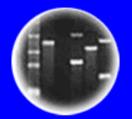
The Recombinant-DNA Debate







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Cloning: Ethical Issues and Future Consequences



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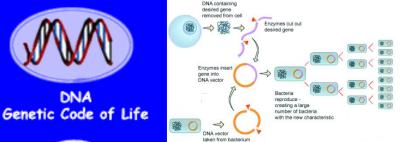
In 1977, Cambridge became the first city in the world to regulate the manipulation of genetic material. The Cambridge Recombinant DNA Technology Ordinance establishes strict oversight of university and commercial laboratories that engage in recombinant DNA research. The requirements set forth in the city ordinance are based on the widely employed National Institutes of Health (NIH) Guidelines for Research Involving DNA Molecules. TO DATE THERE IS NO NATIONAL LAW.

Enforcement of the city's Recombinant DNA Technology Ordinance is carried out by the Cambridge Biosafety Committee. The committee is comprised of Cambridge residents with no ties to the industry and it is staffed by the Cambridge Public Health Department. The committee strives to quickly guide Cambridge laboratories through the regulatory process.

Cambridge Council Allows Harvard DNA Research CAMBRIDGE, Mass., Feb. 7 (UPI)—The

Allows Research Following NIH Guidelines

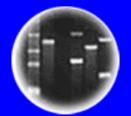






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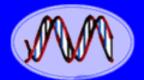


Cloning: Ethical Issues and Future Consequences



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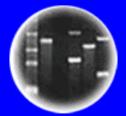
Regulating Genetic Engineering at the Local, State, & Federal Levels The Present



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Cloning: Ethical Issues and Future Consequences



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The Only Federal Law Dealing With a Genetic Engineering Procedure

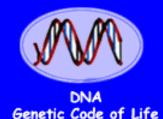


Germline Gene Therapy

2019-2020 Congressional Budget (Expires 9/30/20) FDA Cannot Spend Any Money to Review Applications For Clinical Trials That Involve Human Embryos With Heritable Genetic Modifications

Dickey-Wicker Amendment-1995

- Federal Funds Cannot Be Used To:
- Create Human Embryos For Research Purposes
- Fund Research in Which a Human Embryo Will Be Destroyed, Discarded, or <u>Knowingly Subjected to</u> <u>Risk</u> or Injury of Death





of a Bacteria



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Cloning: Ethical Issues and Future Consequences



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Federal Law on Labeling Genetically Modified Foods 2016

Public Law 114–216 114th Congress

An Act

To reauthorize and amend the National Sea Grant College Program Act, and for other purposes.

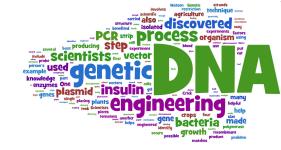
Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,

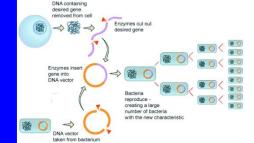
SECTION 1. NATIONAL BIOENGINEERED FOOD DISCLOSURE STANDARD.

The Agricultural Marketing Act of 1946 (7 U.S.C. 1621 et seq.) is amended by adding at the end the following:

"Subtitle E—National Bioengineered Food Disclosure Standard

This is the Only Federal Law That Directly Regulates a Genetically Engineered Product Other Than a Drug





Entire Genetic Code of a Bacteria Laws Regulating DNA and Genetic at the Local, Sta



DNA

Genetic Code of Life

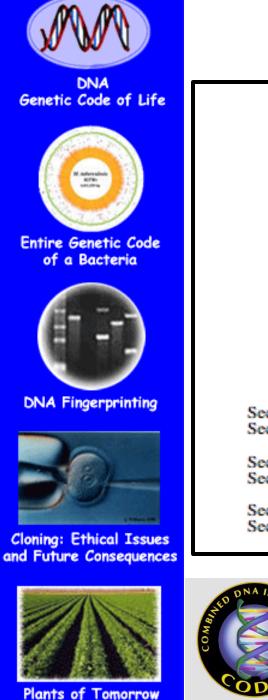
M. Ashevali M. Tahovali M. Tahovali

Cloning: Ethical Issues and Future Consequences



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Laws Regulating the Use of DNA and Genetic Information at the Local, State, & Federal Levels The Present



DNA Identification Act of 1994

One Hundred Third Congress of the United States of America

AT THE SECOND SESSION

Begun and held at the City of Washington on Tuesday, the twenty-fifth day of January, one thousand nine hundred and ninety-four

Subtitle C-DNA Identification

- Sec. 210301. Short title.
- Sec. 210302. Funding to improve the quality and availability of DNA analyses for law enforcement identification purposes.
- Sec. 210303. Quality assurance and proficiency testing standards.
- Sec. 210304. Index to facilitate law enforcement exchange of DNA identification information.
- Sec. 210305. Federal Bureau of Investigation.
- Sec. 210306. Authorization of appropriations.



A BILL

To establish scientific standards and protocols across forensic disciplines, and for other purposes.

Be it enacted by the Senate and House of Representa-

2 tives of the United States of America in Congress assembled. 3 SECTION 1. SHORT TITLE: TABLE OF CONTENTS.

(a) SHORT TITLE.—This Act may be cited as the

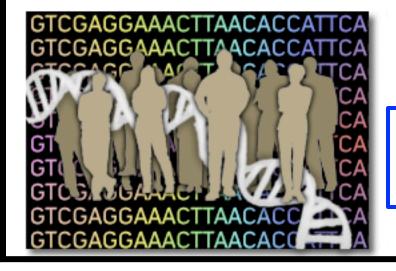
5 "Forensic Science and Standards Act of 2014".



GENETIC INFORMATION NONDISCRIMINATION ACT

About | Contact

Genetic Information Nondiscrimination Act of 2008



Federal Law on Genetic Discrimination

What is GINA?

The Genetic Information Nondiscrimination Act of 2008 (GINA) is a federal law that protects people from genetic discrimination in health insurance and employment. Genetic discrimination is the misuse of genetic information.

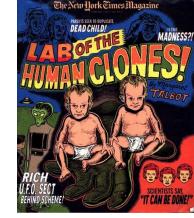
This means it is illegal for your health insurer to use family health history and genetic test results as a reason to deny you health insurance, or decide how much you pay for your health insurance.

This means it is illegal for your employer to use family health history and genetic test results in making decisions about your employment.









There is No <u>Federal</u> Human Cloning Law HR3498, 2015 (Not Passed), Prohibition Against Human Cloning

5	"§302. Prohibition on human cloning		
6	"(a) IN GENERAL.—It shall be unlawful for any per-		
7	son or entity, public or private, in or affecting interstate		
8	commerce		
9	"(1) to perform or attempt to perform human		
10	cloning;		
11	"(2) to participate in an attempt to perform		
12	human cloning; or		
13	"(3) to ship or receive the product of human		
14	cloning for any purpose.		

Fifteen States, Including California, Have Laws Dealing With Human Cloning –-From Banning Both Reproductive <u>and</u> Therapeutic Cloning to only Reproductive Cloning (e.g., California).



Regulating Human Cloning and Stem Cell Research at the Local, State, & Federal Levels?



The Stem Cell Funding "Wars" - 1995 to Present Can't Make "Them" But Can Study "Them"

- President Clinton's NIH Advisory Panel Recommended That Federal Funds Be Used For Research on Human Embryos Discarded From In Vitro Fertilization –1995
- Dickey-Wicker Amendment Prohibited Federal Funding For Research in Which Human Embryos Are Destroyed - 1995
- Human Embryonic Stem Cells Discovered (hESC) -1998
- President Bush Announced That Federal Funds Could Be Used For the First Time on Existing hESC Lines, but Not on Newly Established hESC lines 2001
- President Bush Vetoes a Bill Passed by Congress Allowing Federal Funding of hESC Research – 2006
- Present Obama Announced That Federal Funds Could Be Used for hESC Research Consistent with the Dickey-Wicker Amendment - 2009
- US District Court Halts Federally Funded hESC research Under Obama Guidelines -2010
- US Appeals Court Allows Federally Funded hESC Research (2012) Upheld by Supreme Court in 2013 by Refusal to Review. *Sherley vs. Sebelius*

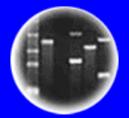
Bush vetoes embryonic stem-cell bill

Supreme Court rejects challenge to Obama stem cell policy





Entire Genetic Code of a Bacteria



DNA Fingerprinting



Cloning: Ethical Issues and Future Consequences

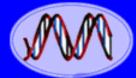


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Genetic Engineering is Regulated but Primarily by Federal Agencies and Not By Direct Some Examples







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Office of Science and Technology Policy

OFFICE OF SCIENCE AND TECHNOLOGY POLICY

AGENCY: Executive Office of the President, Office of Science and Technology Policy. 51 FR 23302 June 26, 1986

Coordinated Framework for Regulation of Biotechnology

ACTION: Announcement of policy; notice for public comment.

SUMMARY: This Federal Register notice announces the policy of the federal agencies involved with the review of biotechnology research and products. As certain concepts are new to this policy, and will be the subject of rulemaking, the public is invited to comment on these aspects which are specifically identified herein.



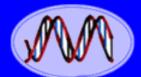
Federal Register/Vol. 80, No. 193/Tuesday, October 6, 2015/Notices

Updated in 2017

SCIENCE AND TECHNOLOGY POLICY OFFICE

Clarifying Current Roles and Responsibilities Described in the Coordinated Framework for the Regulation of Biotechnology and Developing a Long-Term Strategy for the Regulation of the Products of Biotechnology

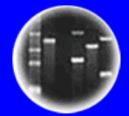
AGENCY: National Science and Technology Council, Science and Technology Policy Office. **ACTION:** Notice of request for information.



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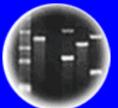
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Federal Agencies Involved in the Coordinated Framework For the Regulation of Biotechnology

TABLE 12.1 PRIMARY FEDERAL REGULATORY AGENCIES IN THE UNITED STATES

Regulatory Oversight of Biotechnology Products Agency	Product Regulat	ed		
U.S. Department of Agriculture	Plants, plant pests (including microorganisms), animal vaccines			
Environmental Protection Agency	Microbial/plant pesticides, other toxic substances, microorganisms, animals producing toxic substances			
U.S. Food and Drug Administration	Food, animal feeds, food additives, human and animal drugs, human vaccines, medical devices, transgenic animals, cosmetics			
Major Laws that Empower Federal Agencies to Regulate Biotechnology				
Law	Agency			
The Plant Protection Act	USDA			
The Meat Inspection Act	USDA	Gene Editing Has		
The Poultry Products Inspection Act	USDA	Non-Regulated		
The Eggs Products Inspection Act	USDA	Status For Crops		
The Virus Serum Toxin Act	USDA	But Not For		
The Federal Insecticide, Fungicide, and Rodenticide Act	EPA	Animals		
The Toxic Substances Control Act	EPA			
The Food, Drug, and Cosmetics Act	FDA, EPA			
The Public Health Service Act	FDA			
The Dietary Supplement Health and Education Act	FDA			
The National Environmental Protection Act	USDA, EPA, FDA			





DNA Fingerprinting



Cloning: Ethical Issues and Future Consequences



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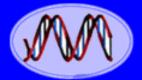
Biomedical Technology Assessment

Oversight of Human Gene Transfer Research

NIH National Institutes of Health

Biomedical Technology Assessment

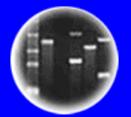
Recombinant DNA Advisory Committee



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DNA Fingerprinting



Cloning: Ethical Issues and Future Consequences



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NATIONAL CONFERENCE of STATE LEGISLATURES

Strengthening States for 40 Years

0

Laws Exist That Regulate Science at the State & Local Levels Some Examples

STATE LAWS

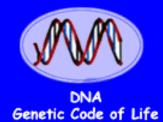






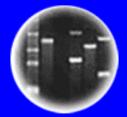
California Genetic Laws

- Newborn Genetic Screening
- Genetic Non Discrimination in Insurance
- Human Cloning Laws
- Genetic Employment Laws
- Genetic Counselor Licensing Laws
- Embryonic and Fetal Research Laws
- Embryo and Gamete Disposition Laws
- Genetic Privacy Laws





of a Bacteria



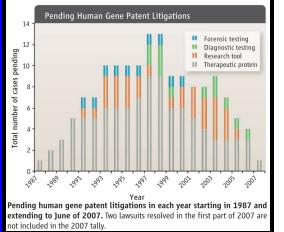
DNA Fingerprinting



Cloning: Ethical Issues and Future Consequences

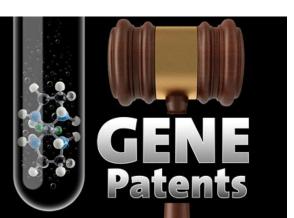


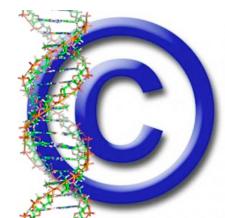
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What About Other Legal Issues and Laws Dealing With Genes and Genetic Engineering?





Life Is Patentable

(Diamond vs. Chakrabarty)

SCIENCE MAY PATENT NEW FORMS OF LIFE, JUSTICESRULE, 5 TO 4

1980 Chakrabartu's and so can be patented; other living things "made by man" are declared



1988 Harvard University gets a patent for the OncoMouse, a rodent with a gene inserted that predisposes it to cancer

The Supreme Court rules that Ananda bacterium is not a "product of nature" patentable as well

Ananda Chakrabartu

6/17/1980





Justices, 9-0, Bar Patenting Human Genes

By ADAM LIPTAK JUNE 13, 2013

March 29, 2010

Judge Invalidates Human Gene Patent

By JOHN SCHWARTZ and ANDREW POLLACK

A federal judge on Monday struck down patents on two genes linked to breast and ovarian cancer. The decision, if upheld, could throw into doubt the patents covering thousands of human genes and reshape the law of intellectual property

United States District Court Judge Robert W. Sweet issued the 152-page decision, which invalidated seven patents related to the genes BRCA1 and BRCA2, whose mutations have been associated with cancer.

The American Civil Liberties Union and the Public Patent Foundation at the Benjamin N. Cardozo School of Law in New York joined with individual patients and medical organizations to challenge the patents last May: they argued that genes, products of nature, fall outside of the realm of things that can be patented. The patents, they argued, stifle research and innovation and limit testing options.





Rights to Human Gene Patents Go on Trial

Do patents on breast, ovarian cancer genes, retard new research?



What Enables the Government To Enact Laws Regarding Genetic Engineering and Science?

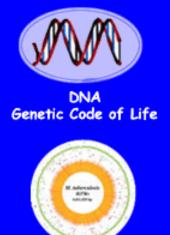
- Constitution-Article I Section 8.8 Promote the General Welfare
 - Amendments-Bill of Rights
- Amendment X-Powers Reserved to States
 - Federal Criminal Statutes
 - State Constitutions
 - State Tort & Criminal Statutes

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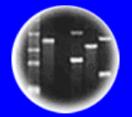
How Does the Constitution Affect Science Directly or Indirectly?

Article or Amendment	What Is Application?		
Preamble	Promote the General Welfare		
Article I, Section 8.1	Promote the General Welfare		
Article I, Section 8.8	Patents & Copyrights		
Article I, Section 8.18	Make All Laws to Execute		
Article VI	Federal Supremacy Clause		
Amendment I	Freedom of Speech		
Amendment IV	Searches & Seizures		
Amendment V	Due Process-Privacy-Federal		
Amendment X	Powers Reserved to the States (Police Powers)		
Amendment XIII	Slavery		
Amendment XIV	Due Process-Privacy-State		





Entire Genetic Code of a Bacteria



DNA Fingerprinting



Cloning: Ethical Issues and Future Consequences



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What Does the Constitution Say <u>Directly</u> About Science?

Is the Word "Science" in the Constitution?

The Congress shall have the Power:

[8] "To Promote the <u>Progress of Science</u> and the useful Arts, by securing for limited Times to Authors and <u>Inventors</u> the <u>exclusive Right</u> to their Writings and Discoveries"

<u>Keyword</u>: Inventors not Science. Wanted to Promote Economic Development & Promote a <u>National</u> Economics Policy Grounded in Property Rights. That is, Entrepreneurship!

PATENTS!!

Intellectual Property

- Regulate Patents (genes, genetic engineering, cells)
- Regulate Copyrights (software)
- Regulate Trademarks (biotech companies, drugs)

What IS Patentable & What Are the Rules (e.g., 20y)?

How Does the Constitution Deal Indirectly With Science?

Without Using the Word Science or Mentioning the Progress of Science and Discoveries?

<u>Preamble</u>

"We the People of the United States, in order to form a more perfect Union, establish justice, insure domestic tranquility, proved for the common defense, <u>promote</u> <u>the General Welfare</u>....."

<u>Key Concept</u>: General Welfare-Which Can Apply to Almost Everything Dealing With Science, Health, Medicine, Agriculture, and Safety!

The Congress shall have the Power:

[1] "To lay and collect Taxes, Duties, Imposts, and Excises, to pay the Debts and <u>provide for</u> the common Defense and <u>general Welfare</u> of the United States; but all Duties, Imposts, and Excises shall be uniform throughout the United States"

<u>Key Concept</u>: Provide For the General Welfare-Which Can Apply to Almost Everything Dealing With Science, Health, Medicine, Agriculture, and Safety!

The Congress shall have the Power:

[18] "<u>To make all Laws</u> which shall be necessary and proper for carrying into Execution <u>the</u> <u>forgoing Powers</u>, and all other Powers vested by this Constitution in the Government of the United States, or in any Department or Officer thereof.

<u>Key Concept</u>: Congress Established Agencies Such as NIH, NSF, and USDA

Promote the General Welfare: Federal Powers

- Fund Science Research & Exploration (NIH, NSF, NASA)
- Regulate Health (e.g., disease outbreaks) (CDC)
- Regulate Medical Testing Devices/Services (DNA Testing)
- Regulate Drugs (FDA)
- Regulate Food Additives (FDA)
- Regulate Releases Into the Environment (GMOs)
- Regulate Lab Conditions
- Regulate Private DNA Testing/Sequencing Services (23&Me)
- Regulate Human Cloning and Stem Cell Funding
- Establish DNA Databases (CODIS)
- Establish Criminal Codes/Laws

<u>Article VI</u>

"The Constitution, and the laws of the United States which shall be made in pursuance thereof; and all treaties made, or which shall be made, under authority of the United Sates, shall be the supreme law of the land; and the judges in every State shall be bound thereby"

State Laws That Conflict With Federal Law Are "Without Effect" A Federal Law That Conflicts With State Law Will "Preempt" State Law A State Court Cannot Issue Rulings That Contradict Decisions of a Federal Court Altria Group vs. Good, 2008; Maryland vs. Louisiana, 1981 Abelman vs. Booth, 1859,

Public Law 114–216 114th Congress

An Act

To reauthorize and amend the National Sea Grant College Program Act, and for other purposes.

Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,

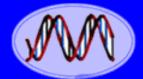
SECTION 1. NATIONAL BIOENGINEERED FOOD DISCLOSURE STANDARD.

The Agricultural Marketing Act of 1946 (7 U.S.C. 1621 et seq.) is amended by adding at the end the following:

"Subtitle E—National Bioengineered Food Disclosure Standard



Vermont GMO Labeling Law Is Invalid!!



DNA Genetic Code of Life



Entire Genetic Code of a Bacteria



DNA Fingerprinting



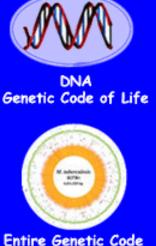
Cloning: Ethical Issues and Future Consequences



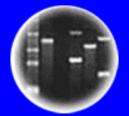
Plants of Tomorrow

What Does the Bill of Rights Say Indirectly About Regulating Science?





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Can Scientific Inquiry and Research Be Regulated?



Amendment I



Freedom of Speech and Expression:

"Congress shall make no Law respecting an <u>establishment of religion</u>, prohibiting the free exercise thereof; or <u>abridging freedom of</u> <u>speech</u>, <u>or of the press</u>, of the right of the people <u>peacefully to assemble</u>, and to petition the Government for a redress of grievances."

<u>Key Concepts</u>: Freedom to Think About Science, Publish, and Discuss Science in Meetings and Laboratories YES-HAVE AN ABSOLUTE RIGHT TO THINK, IMAGINE, FORM GROUPS, ARGUE IDEAS, AND DO RESEARCH

BUT WHAT ABOUT ACTUALLY CARRYING OUT EXPERIMENTS IN A LABORATORY OR IN A HOME, OR BUSINESS?

CAN EXPERIMENTATION BE REGULATED (e.g., Recombinant DNA)?



THERE IS NO FUNDAMENTAL RIGHT OF SCIENTIFIC INQUIRY TO CARRY OUT EXPERIMENTS!

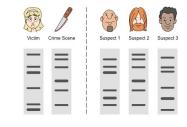
- 1. When Moving From Reflection, Theory, Hypothesis, and Thought to <u>TESTING AND EXPERIMENTATION</u> - Move From World of Speech (talking, publishing) to <u>WORLD OF</u> <u>ACTION AND CONDUCT.</u>
- 2. Can Distinguish Berween Research That is Hazardous on Potentially Hazardous and That Which is Not Hazardous (e.g., testing bombs in your house; recombinant DNA).
- 3. Experimentation Triggers Public Welfare Considerations
- 4. Freedom to Pursue Knowledge is Distinguishable From Right to Choose Method For Achieving That Knowledge (e.g., experimentation methods and approaches).



Experimentation CAN BE Regulated Directly By Law and/or Indirectly By Funding!



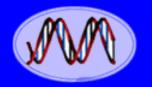
<u>Amendment IV</u>



Searches and Seizures:

"The right of the people to secure their persons, houses, papers, and effects, against unreasonable searches and seizures, shall not be violated, and no warrants shall issue, but upon probable cause, supported by Oath or affirmation, and particularly describing the place to be searched and the persons or things to be seized"

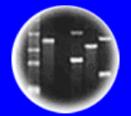
<u>Key Concepts</u>: Right Against Unreasonable Searches to Your Own "Body Parts," Science Writings, and Experimental Materials



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https://www.oyez.org/cases/2012/12-207



Maryland Vs. King Ruling: US Supreme Court Decides DNA Swabs During Arrests Are Constitutional In 5-4 Decision

Question

Does the Fourth Amendment allow states to collect and analyze DNA from people arrested, but not convicted, of serious crimes?

<u>YES</u>. Justice Anthony M. Kennedy delivered the opinion of the 5-4 majority. The Court held that conducting a DNA swab test as a part of the arrest procedure does not violate the Fourth Amendment because the test serves a legitimate state interest and is not so invasive so as to require a warrant.

"For these reasons and others set forth in the opinion published today, the Court concludes that DNA identification of arrestees is a reasonable search that can be considered part of a routine booking procedure."

<u>Amendment V</u>

Due Process:

"No Person shall be held to answer for a capital, or otherwise infamous crime, unless on presentment or indictment of a Grand jury, except in cases arising in the land or navel forces, or in the Militia, when in actual service in time of War or public danger; nor shall any person be a subject for the same offense to be twice put in jeopardy of life and limb, nor shall be compelled in any criminal case to be a witness against himself. <u>Nor be deprived of Life, liberty, or</u> <u>property, without due process of law</u>; nor shall any property be taken for public use without just compensation."

<u>Key Concepts</u>: Right to Life & Liberty=Privacy=Reproductive Rights Medical Treatment (Refusal/Acceptance)

Amendments V and XIV Federal Due Process (Right to Privacy) State Due Process (Right to Privacy) Right to Life (Medical Treatment)

- Procreative Choice-Terminate Pregnancy Roe vs. Wade (1973)
- Genetic testing: PGD, amniocentesis, chorionic villi, etc.
- In Vitro Fertilization
- Stem Cells
- Cloning (therapeutic, reproductive?)
- Birth Control Griswold vs. Connecticut (1967); Carey vs. Population Services (1977)
- Medical Treatment (end of life) CA End of Life Option Act (2016)
- Germline Gene Editing?

Amendment X

Powers Not Delegated to the United States:

"The powers not delegated to the United States by the Constitution, nor prohibited by it to the States, <u>are reserved</u> <u>to the States respectively</u>, or to the people."

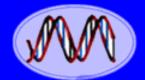
<u>Key Concept</u>: State Promotion of General Welfare=Police Powers

Amendment X

Police Powers to States & Localities

<u>State Funding and Regulation of:</u>

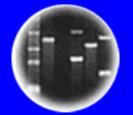
- Science Research & Exploration
- Health (e.g., disease outbreaks)
- Medical Testing Devices/Services (DNA Testing)
- Drugs (as long as not interstate commerce)
- Food Additives
- Releases Into the Environment (GMOs)
- DNA Data Bases, etc.



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Can GloFish Can Be Sold In California?

• Cal. Depart. of Fish and Game Code § 15007 (2007) <u>Regulation</u> Makes it illegal to spawn, cultivate, or incubate any transgenic fish in the state controlled waters of the Pacific Ocean.

• Cal. Depart. of Fish and Game Code Ruling (2015) The Dept. of Fish and Game will propose the addition of an <u>exception</u> to Section 1.92 that would allow the sale of transgenic tropical aquarium fish that the Dept. has determined pose no foreseeable risk or harm to native fish or wildlife.

Genetic Engineering & The Law!!







Amendment XIII

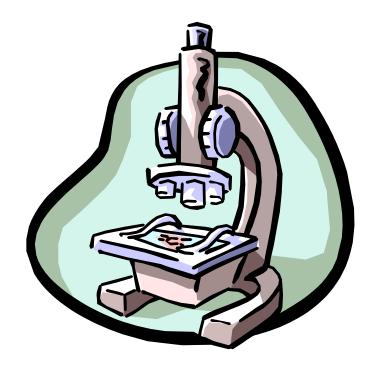
Involuntary Servitude:

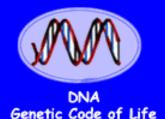
Section 1: "Neither slavery nor involuntary servitude, except as punishment for crime whereof the party shall have been duly convicted, shall exist with the United States, or any place subject to their jurisdiction."

Section 2: "Congress shall have the power to enforce this article by appropriate legislation

<u>Key Concept</u>: No Slavery or Involuntary Servitude-Clones or Patenting Humans

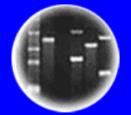
How Can Genetic Engineering Be Regulated Directly?







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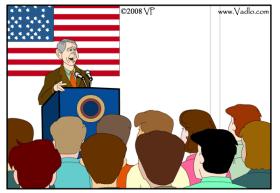
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Police Powers of Federal, State, and Local Governments-<u>To Promote the</u> <u>General Welfare</u>-Can Regulate <u>Experimentation</u>.

"If Inherently Hazardous to Protect the Welfare of the Public and/or an Individual"



I have ordered science grants to be distributed by National Lottery Commission.







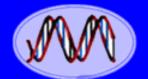
How Can Genetic Engineering and Science Be Regulated Indirectly?



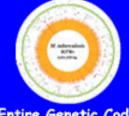




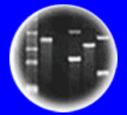








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Regulate Science Through Power of Funding and Research \$

- <u>No Constitutional Right to Obtain Funding</u> For Research at Federal, State, and Local Levels
 - a. Federal Embryonic Stem Cell Research Restricted
 - b. Must Apply For Grants Which Are Merit-Based and Peer-Reviewed
- 2. <u>Must Abide By Conditions</u> of Funding Agencies to Obtain Research \$
 - a. Recombinant DNA Guidelines
 - b. Human Institutional Review Boards (IRBs)
 - c. Release of GMOs Into the Environment (EPA)
 - d. Destruction of Human Embryos

